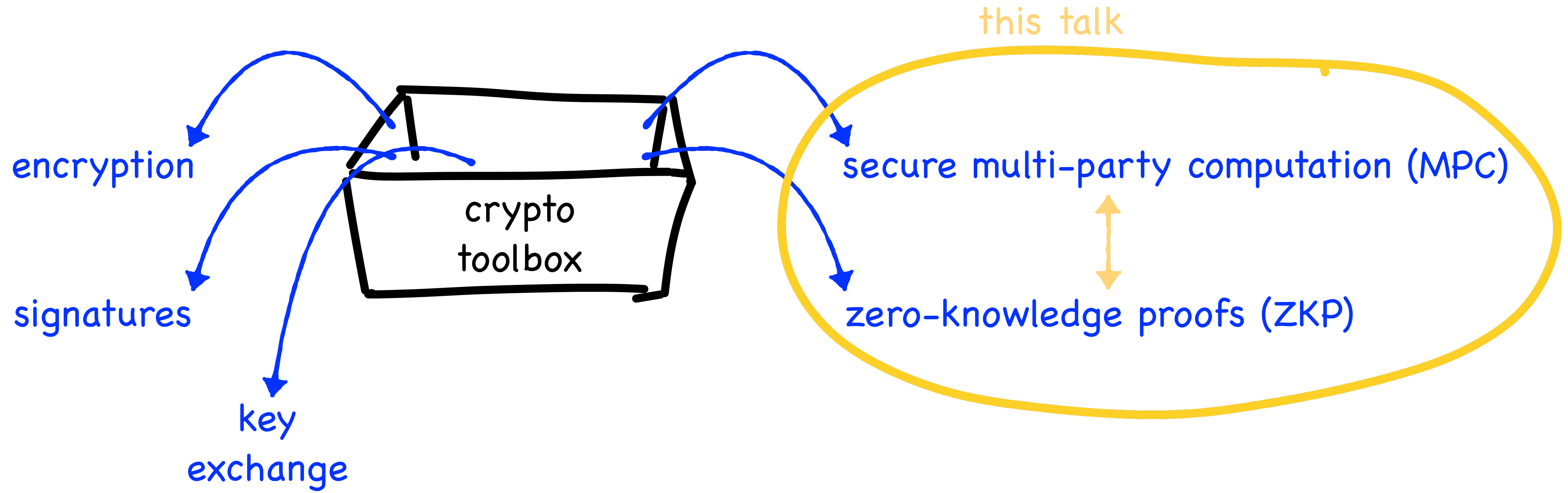
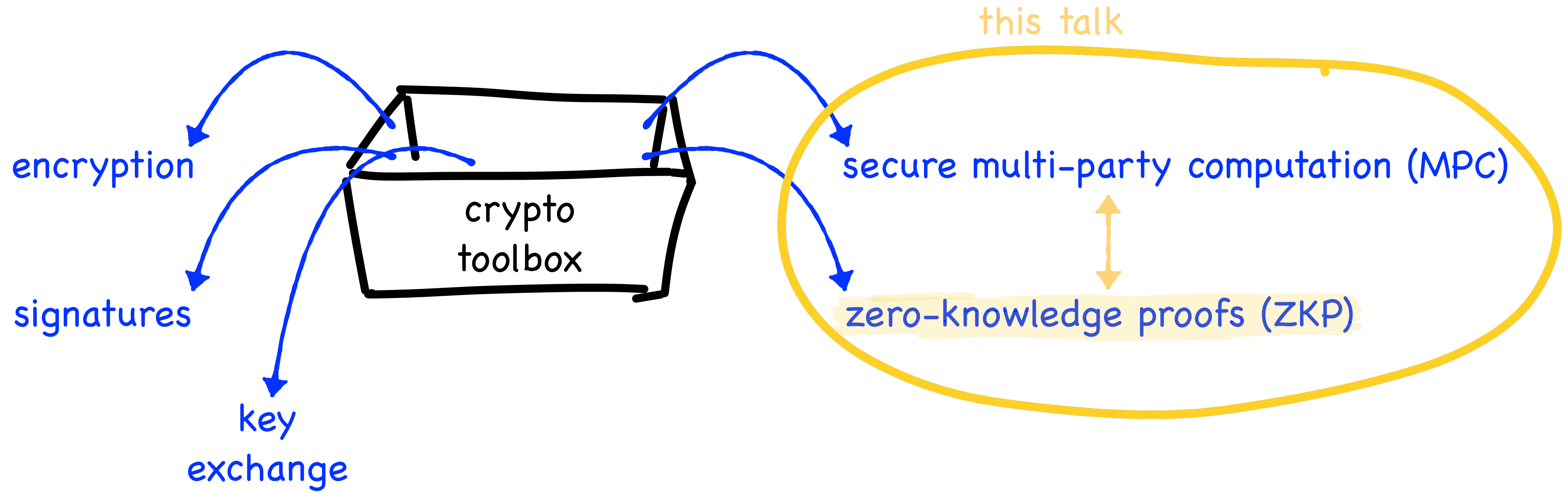
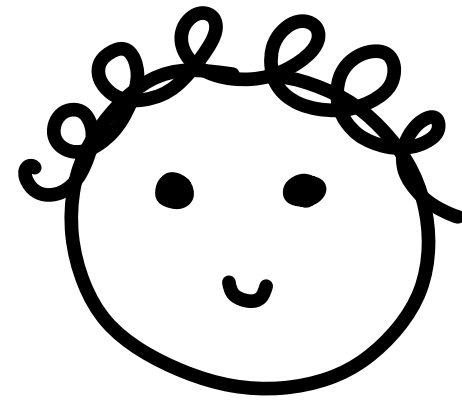


# Zero Knowledge Proofs (ZKP) and Secure Multiparty Computation (MPC)





# Proof of Sudoku Solvability



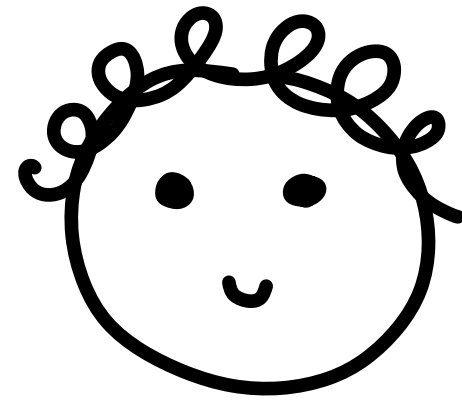
Alice

	7	5		9				6
	2	3		8			4	
8					3			1
5			7		2			
	4		8		6		2	
			9		1			3
9			4					7
	6			7		5	8	
7				1		3	9	

## Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability



Alice

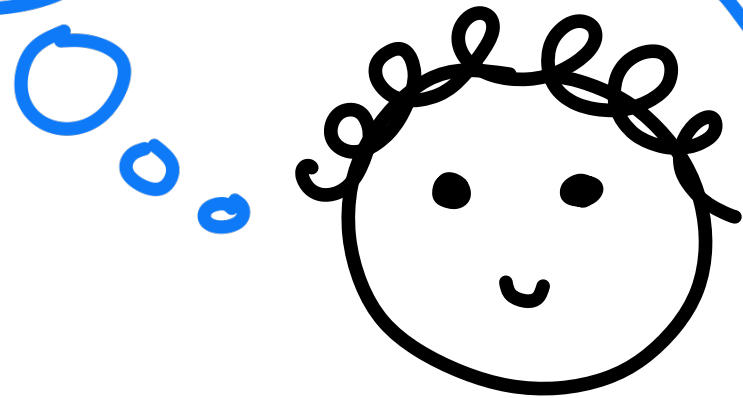
1	7	5	2	9	4	8	3	6
6	2	3	1	8	7	9	4	5
8	9	4	5	6	3	2	7	1
5	1	9	7	3	2	4	6	8
3	4	7	8	5	6	1	2	9
2	8	6	9	4	1	7	5	3
9	3	8	4	2	5	6	1	7
4	6	1	3	7	9	5	8	2
7	5	2	6	1	8	3	9	4

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability

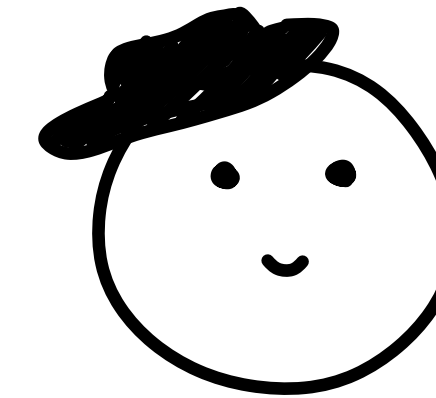
Do I just...  
give the answer away?



Alice

Can you solve  
this sudoku?

	7	5		9				6
	2	3		8			4	
8					3			1
5			7		2			
	4		8		6		2	
			9		1			3
9			4					7
	6			7		5	8	
7				1		3	9	



Dani

No - it's unsolvable,  
you're messing with me!

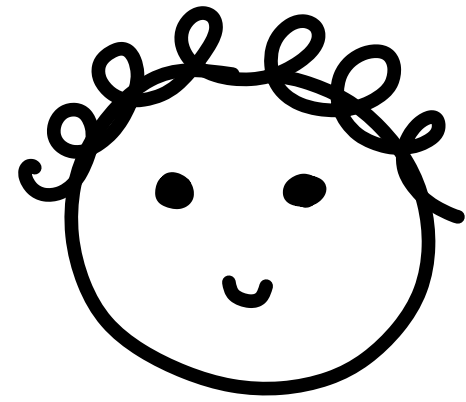
Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability

Goal:

- convince Dani
- without giving anything away



Alice

	7	5		9				6
	2	3		8			4	
8					3			1
5			7		2			
	4		8		6		2	
			9		1			3
9			4					7
	6			7		5	8	
7				1		3	9	

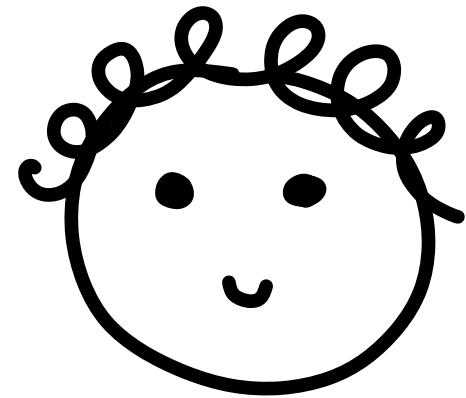
Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

# Proof of Sudoku Solvability

Goal:

- convince Dani
- without giving anything away



Alice

random permutation:

1	7	5	2	9	4	8	3	6
6	2	3	1	8	7	9	4	5
8	9	4	5	6	3	2	7	1
5	1	9	7	3	2	4	6	8
3	4	7	8	5	6	1	2	9
2	8	6	9	4	1	7	5	3
9	3	8	4	2	5	6	1	7
4	6	1	3	7	9	5	8	2
7	5	2	6	1	8	3	9	4

1 → 2

2 → 6

3 → 5

4 → 9

5 → 1

6 → 7

7 → 8

8 → 4

9 → 3

2	8	1	6	3	9	4	5	7
7	6	5	2	4	8	3	9	1
4	3	9	1	7	5	6	8	2
1	2	3	8	5	6	9	7	4
5	9	8	4	1	7	2	6	3
6	4	7	3	9	2	8	1	6
3	5	4	9	6	1	7	2	8
9	7	2	5	8	3	1	4	6
8	1	6	7	2	4	5	3	9

Constraints:

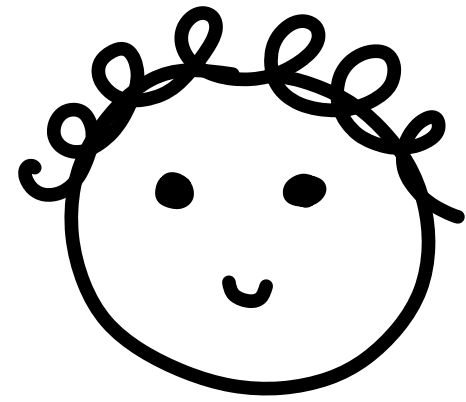
- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

if the original sudoku was "valid", so is the new one!

# Proof of Sudoku Solvability

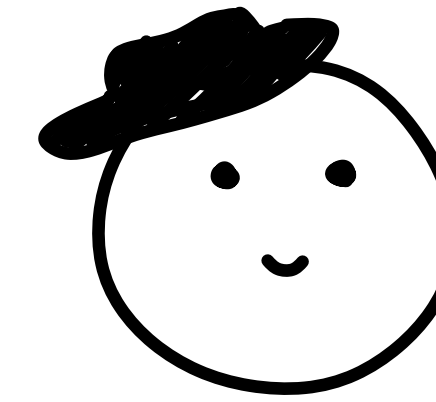
Goal:

- convince Dani
- without giving anything away



Alice

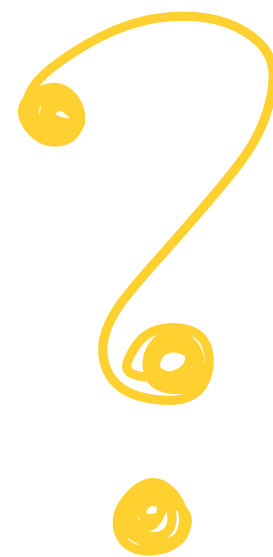
2	8	1	6	3	9	4	5	7
7	6	5	2	4	8	3	9	1
4	3	9	1	7	5	6	8	2
1	2	3	8	5	6	9	7	4
5	9	8	4	1	7	2	6	3
6	4	7	3	9	2	8	1	6
3	5	4	9	6	1	7	2	8
9	7	2	5	8	3	1	4	6
8	1	6	7	2	4	5	3	9



Dani

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9

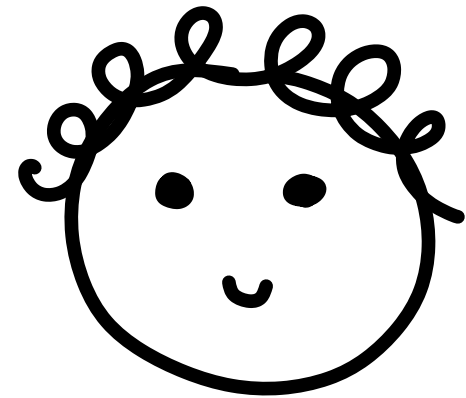


# Proof of Sudoku Solvability

Goal:

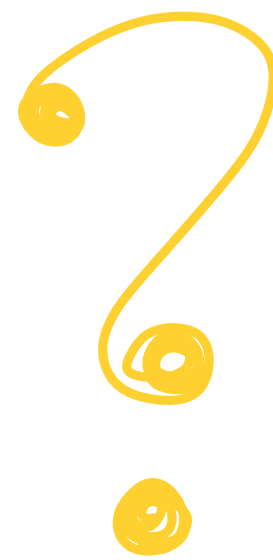
✗ convince Dani

✓ without giving anything away



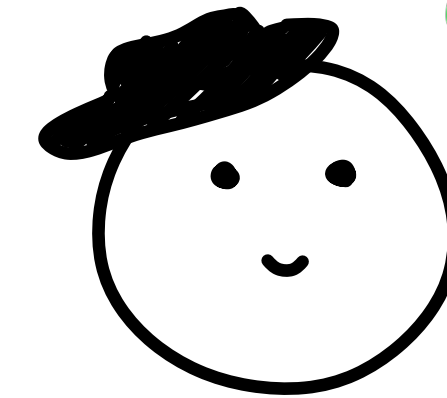
Alice

1	2	3	8	5	6	9	7	4



Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- initial conditions



Dani

I didn't learn anything!

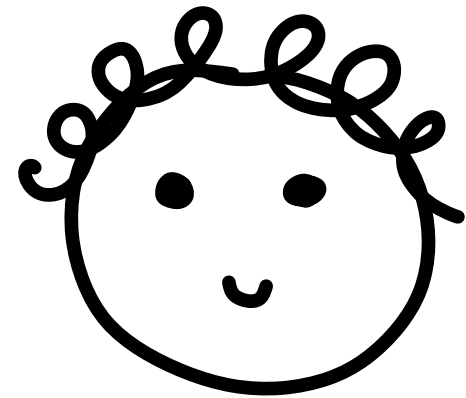
... but maybe the stuff under the remaining post-its is bogus.

# Proof of Sudoku Solvability

Goal:

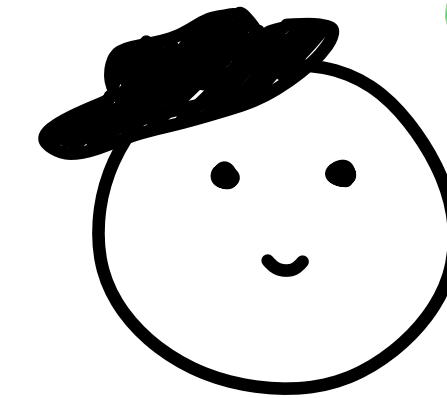
✗ convince Dani

✓ without giving anything away



Alice

1	2	3	8	5	6	9	7	4



Dani

I didn't learn anything!

... but maybe the stuff under the remaining post-its is bogus.

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- initial conditions

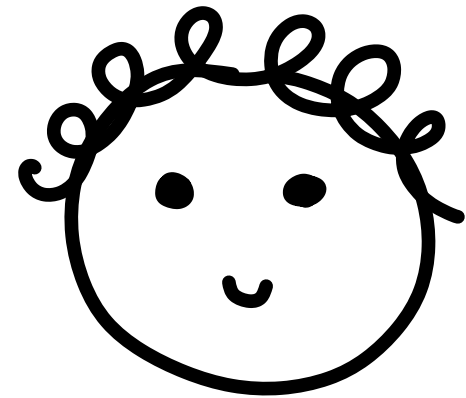
$9+9+9+1 = 28$   
constraints.

If all satisfied,  
solution is valid.

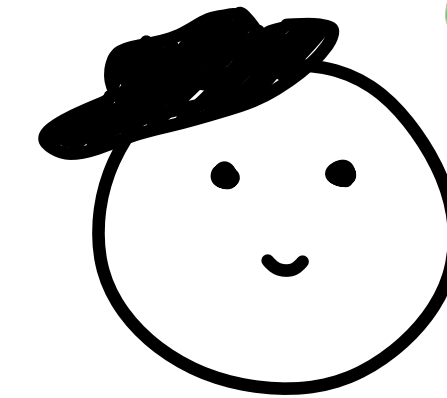
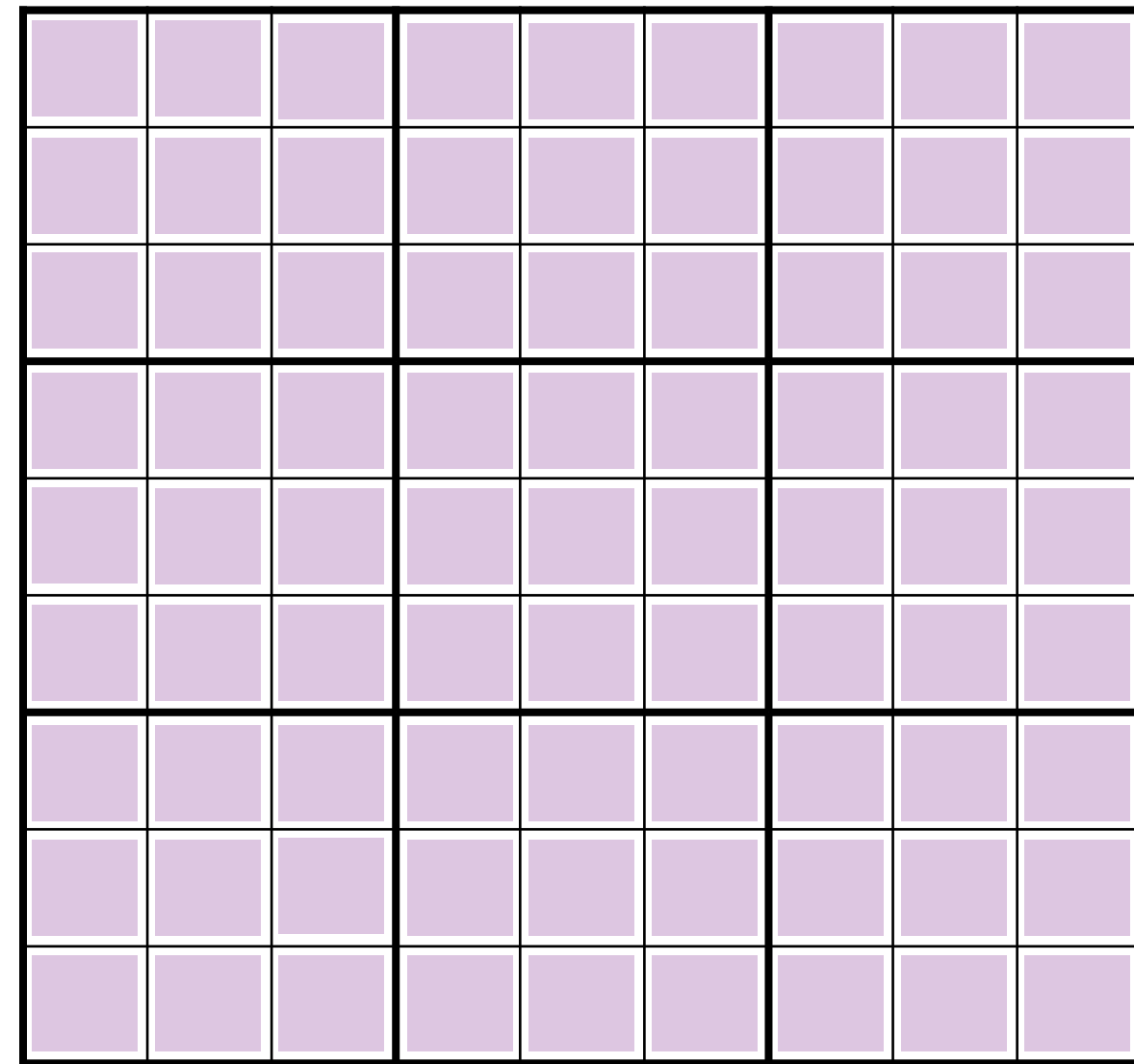
# Proof of Sudoku Solvability

Goal:

- ✓ convince Dani
- ✓ without giving anything away



Alice



Dani

I didn't learn anything!

... but if Alice cheated, she might get away with it with prob  $\leq 27/28$ .

Constraints:

- row contains 1-9
- column contains 1-9
- sub-square contains 1-9
- initial conditions

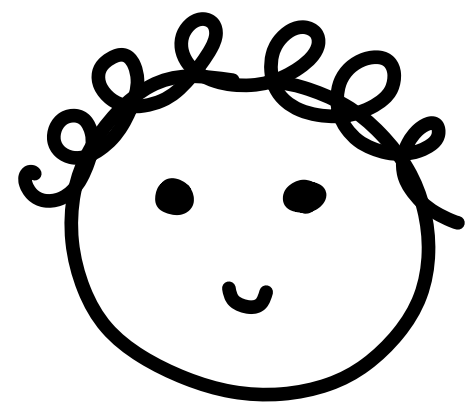
$9+9+9+1 = 28$  constraints.

If all satisfied, solution is valid.

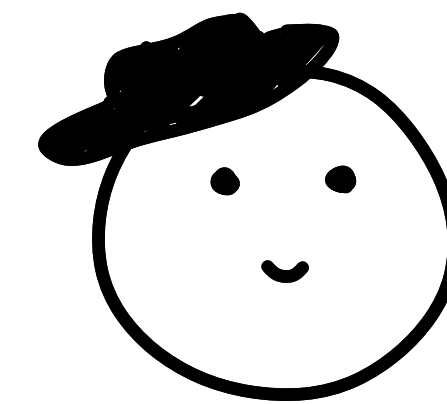
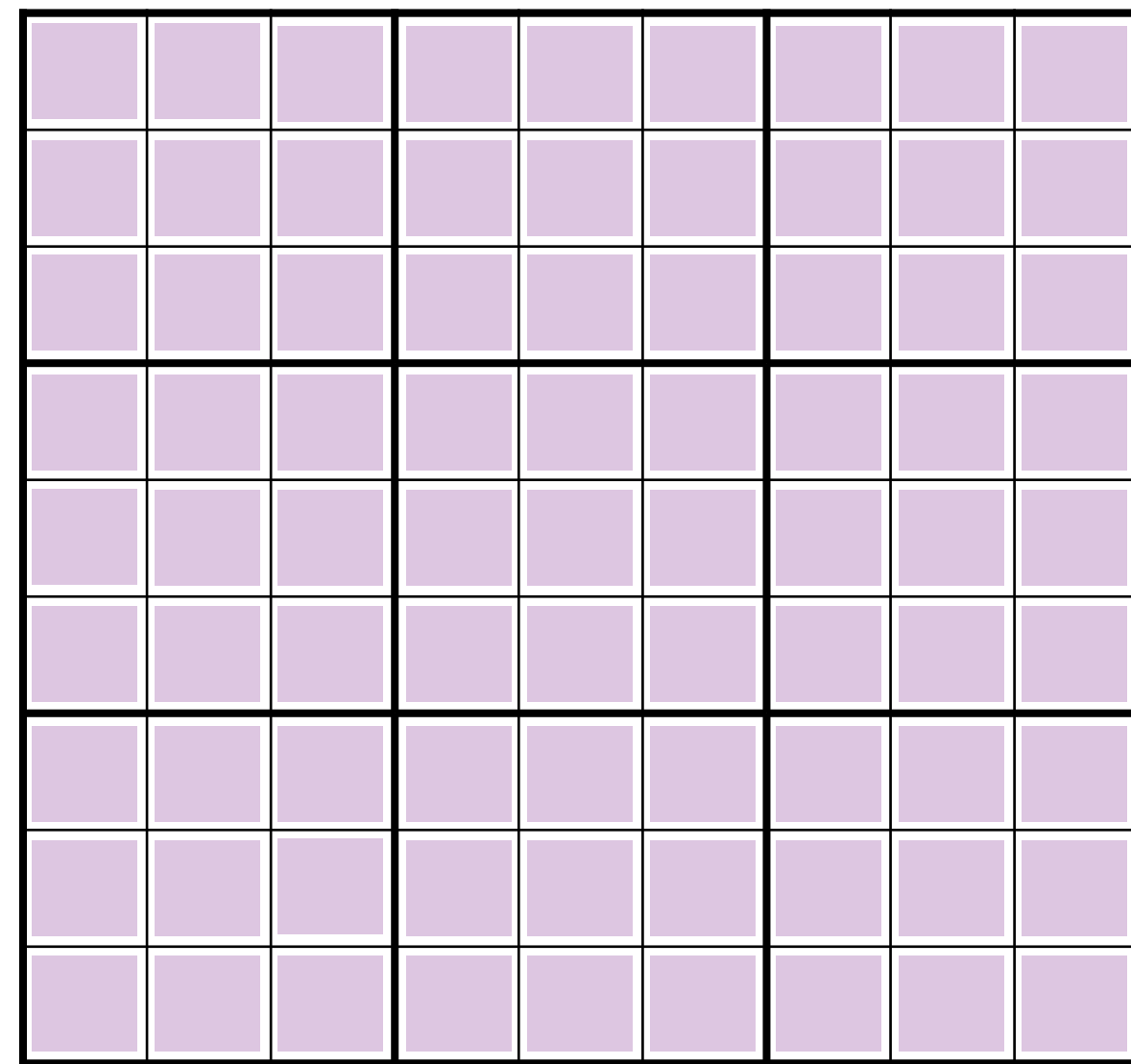
Open constraint  $i!$

Solution: repeat  $k$  times, s.t  $(27/28)^k$  is small enough.

# Proof of Sudoku Solvability... online



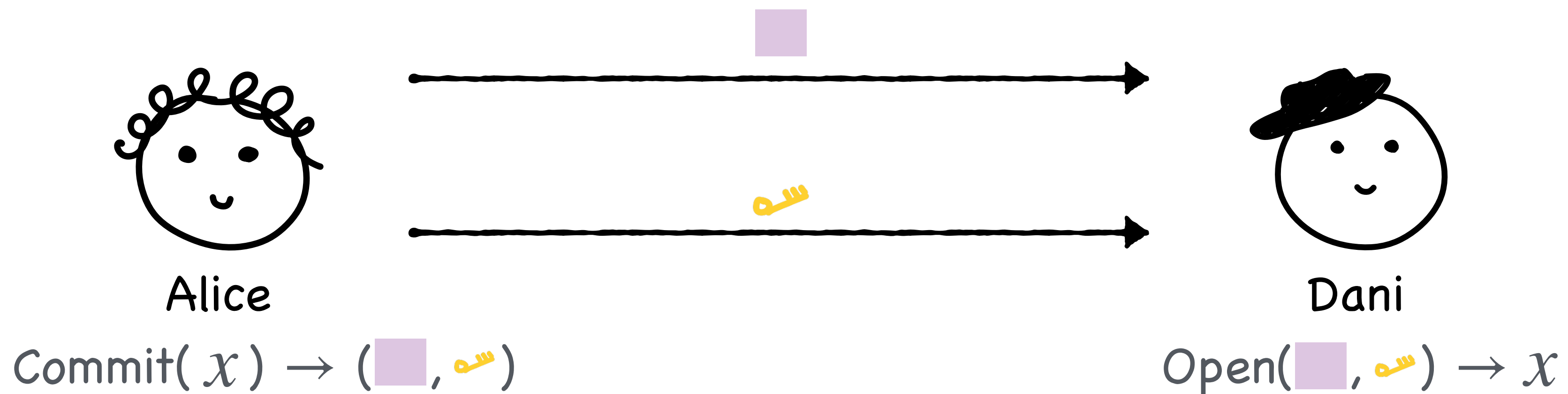
Alice



Dani

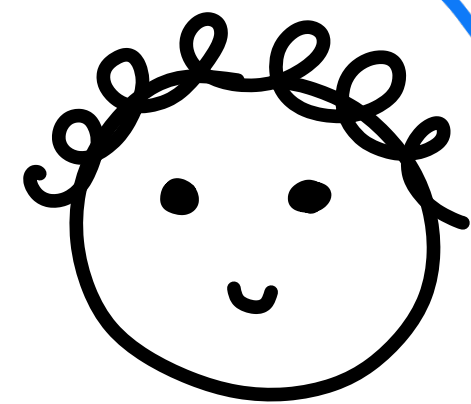
Open constraint i!

# Tool: Commitments

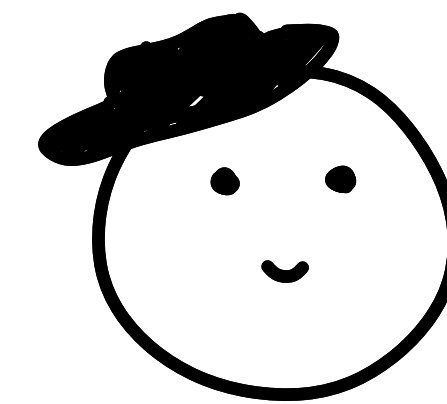
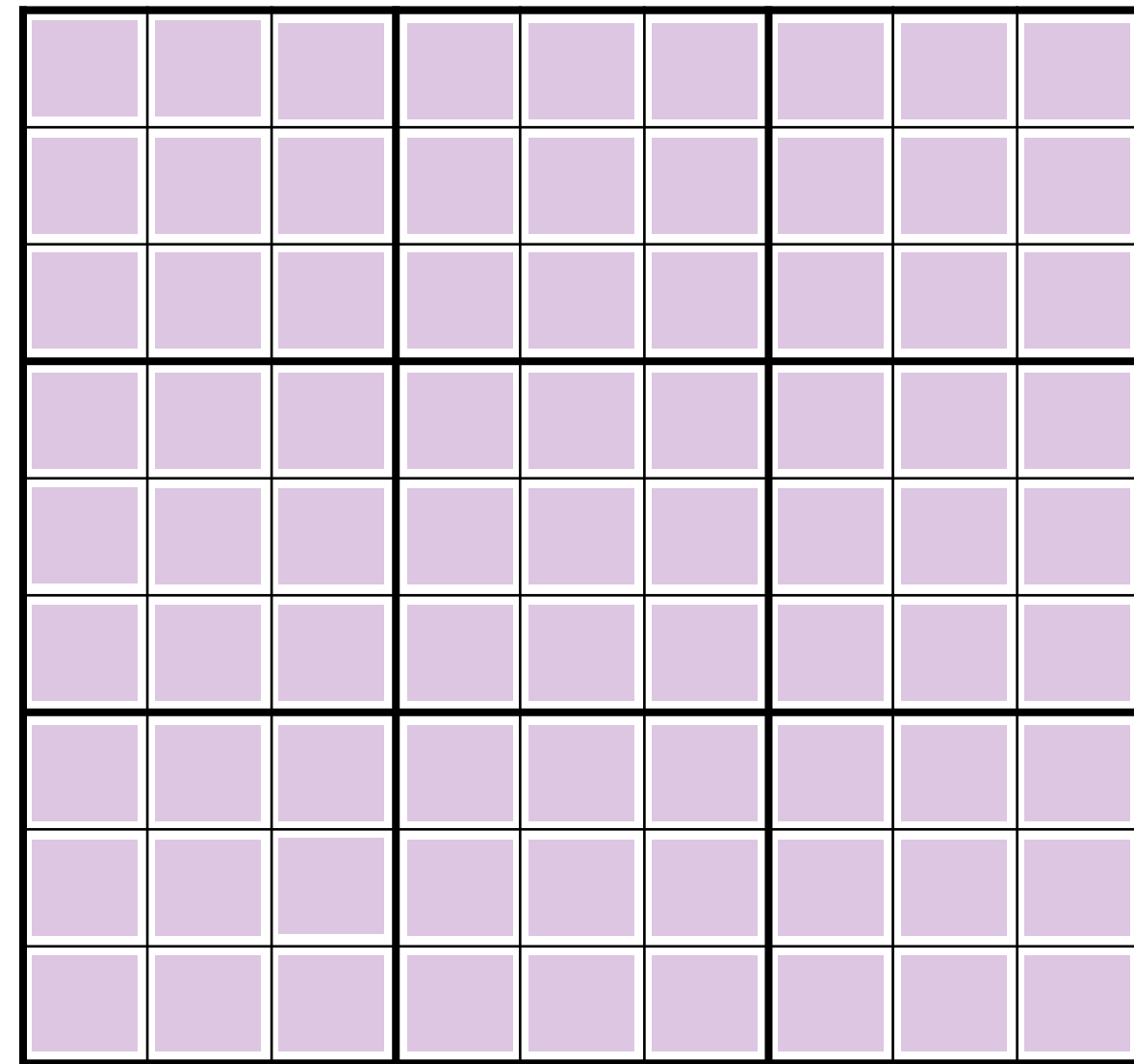


- Hiding: purple square reveals nothing about what's inside, without yellow key
- Binding: purple square can only be opened to one thing

# Proof of Sudoku Solvability... online

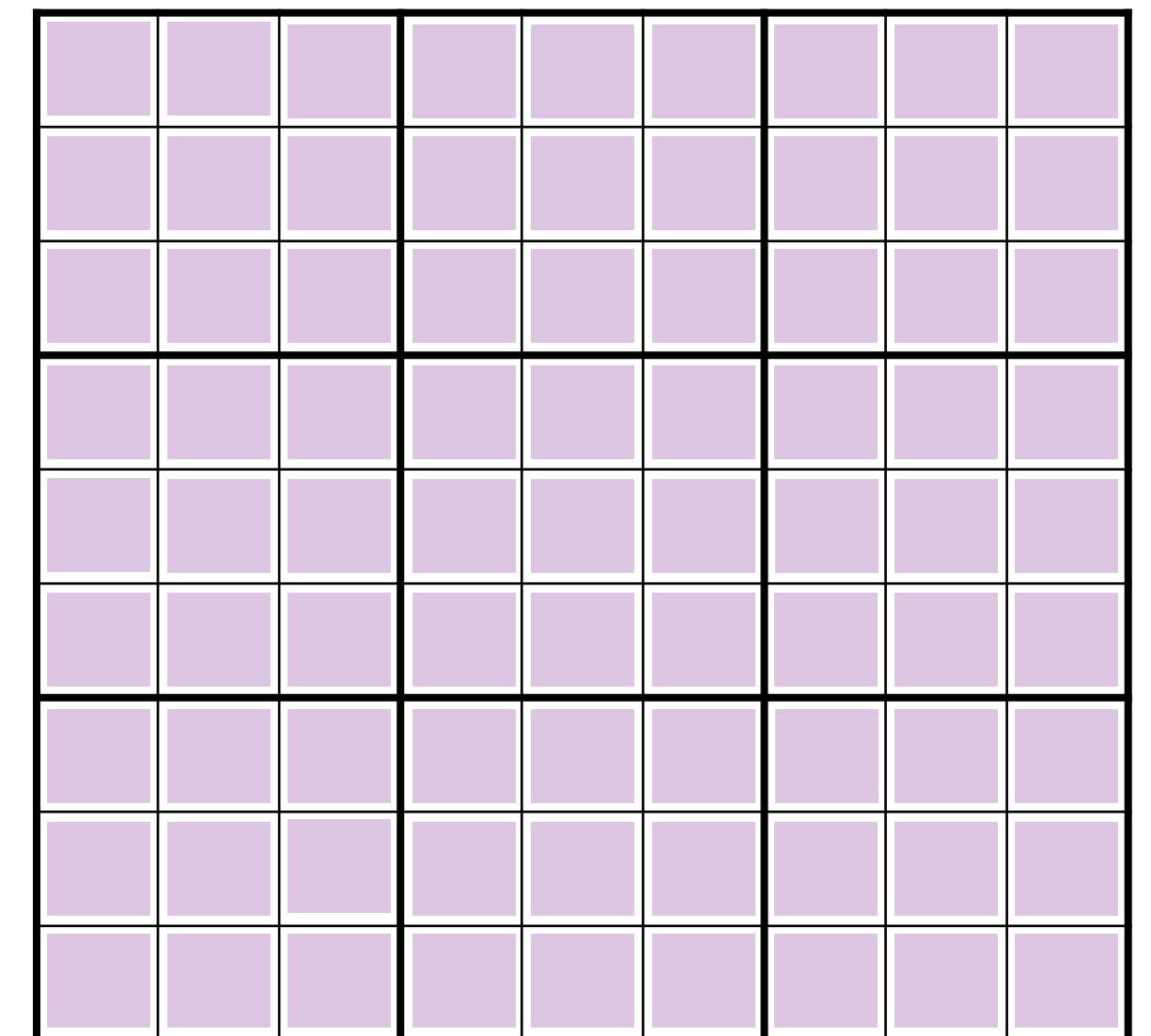


Alice



Dani

Open(■, 🗝️) → x



Open constraint i!

🗝️ for constraint i

Commitments:

- Binding: ■ can only be opened to one thing
- Hiding: ■ reveals nothing about what's inside, without 🗝️

# Zero Knowledge Proofs (ZKP)

ZKP: Prove a claim without revealing any information apart from the claim itself!

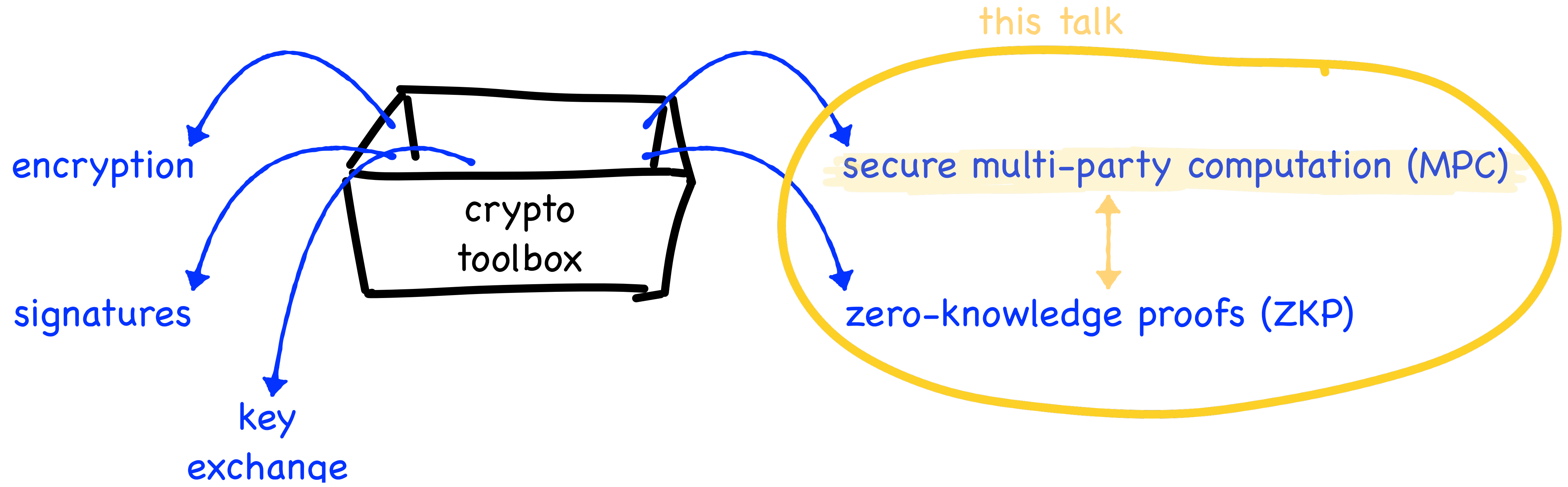
In practice, we want to prove things like...

- Identity, or possession of credentials
- Correct computation
- Generally: knowledge/existence of  $x$  s.t.  $f(x) = 1$

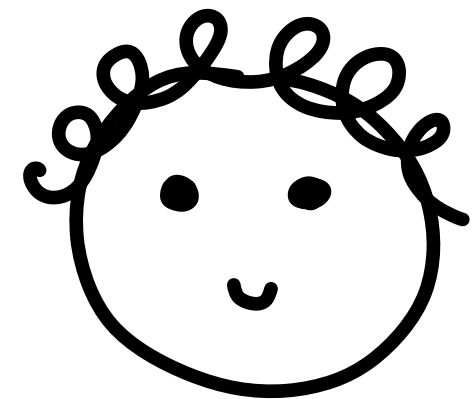
How do we do this?

- Sudoku is NP-complete – we can turn any problem into a sudoku!

inefficient

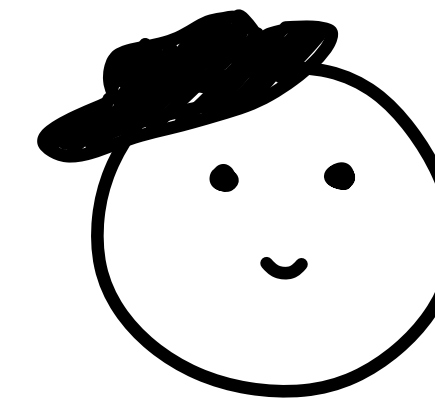


# Secure Multi-Party Computation (MPC): A First Example



Alice

$x_A \in [1, \dots, 10]$



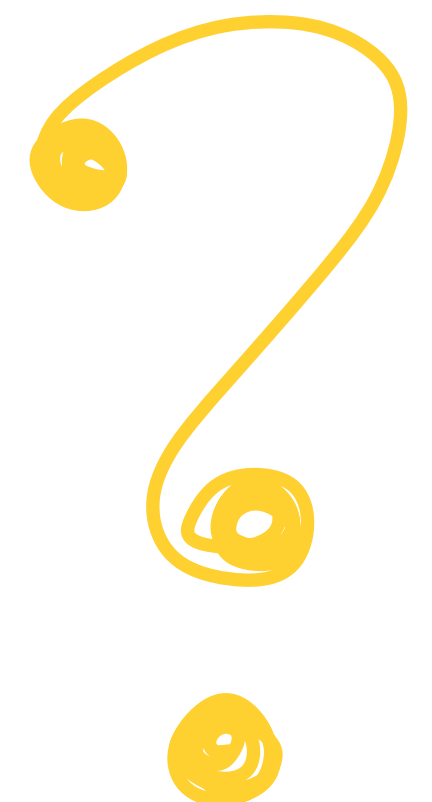
Dani

$x_D \in [1, \dots, 10]$

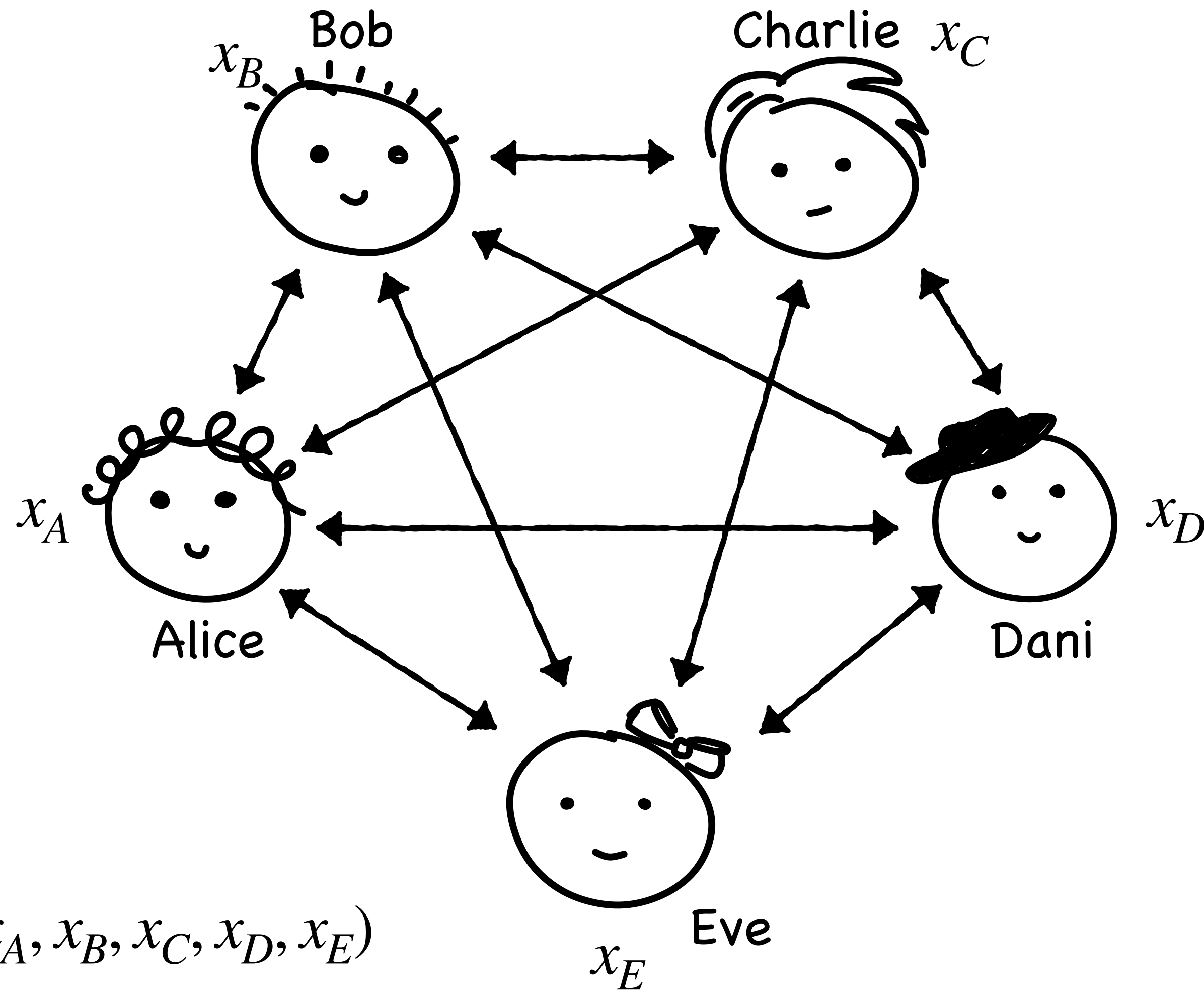
We want privacy:  
if  $x_A \neq x_D$ , that is all  
they learn

$$f(x_A, x_B) = \begin{cases} 1 & \text{if } x_A = x_B \\ 0 & \text{otherwise} \end{cases}$$

we could ask someone to help us...  
but there is no-one we both trust!



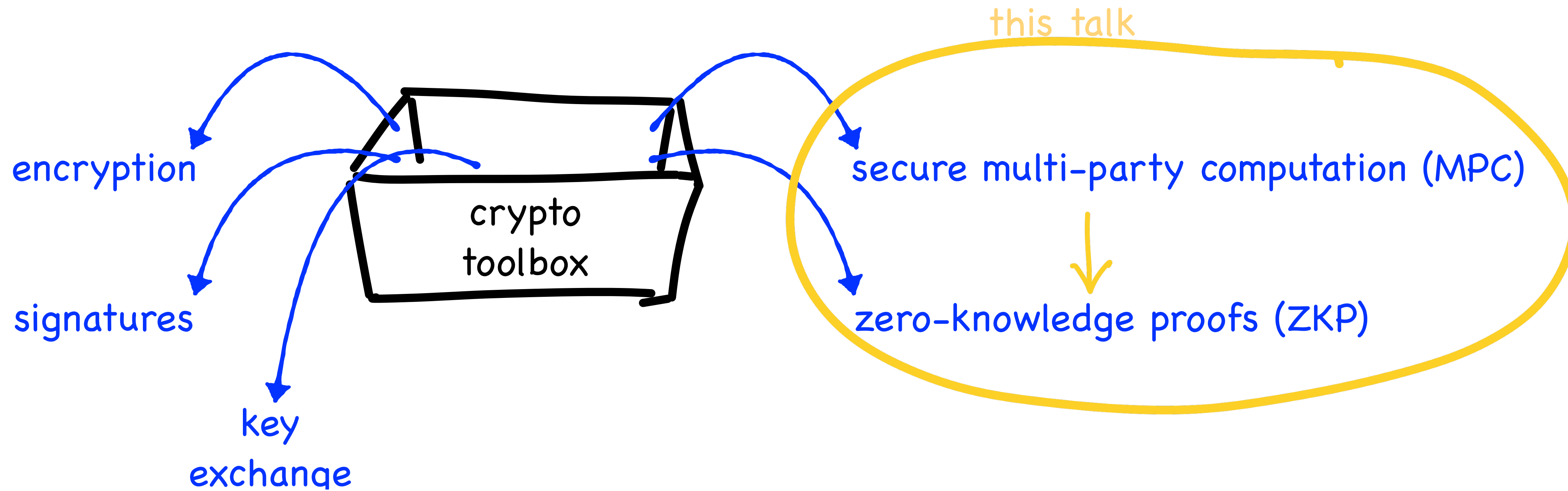
# Secure Multi-Party Computation (MPC)



$$y = f(x_A, x_B, x_C, x_D, x_E)$$

We want:

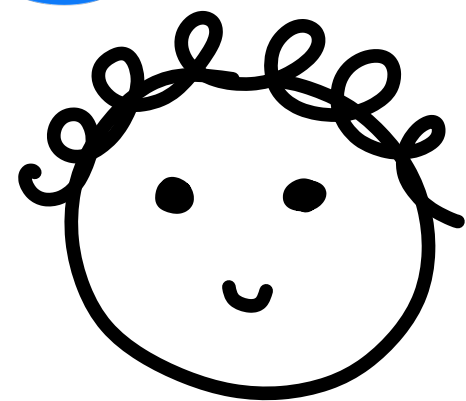
- correctness
- $t$ -privacy: the combined views of  $t$  or fewer participants reveal nothing other than  $y$



# ZKP from MPC: Attempt 1

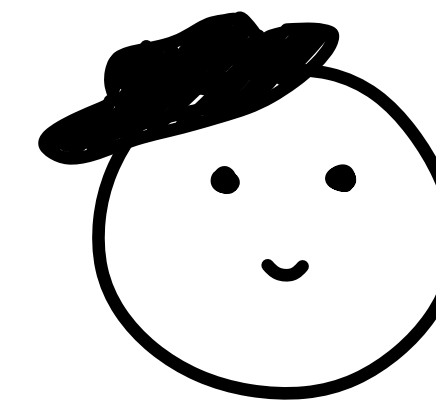
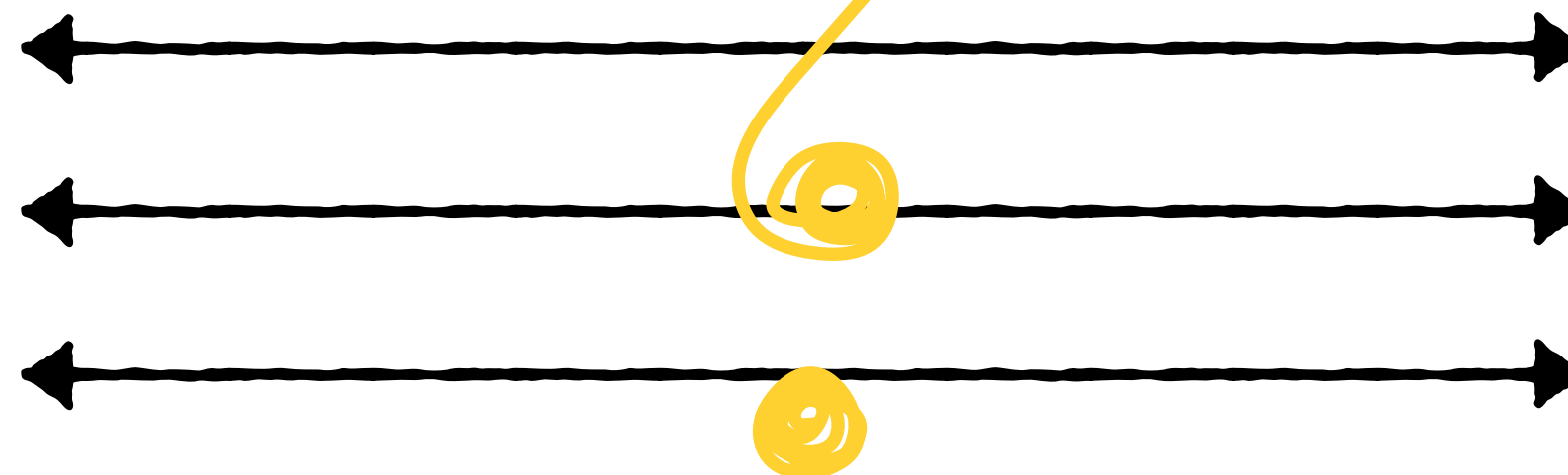
Goal:

- convince Dani of  $f(x) = 1$
- while keeping  $x$  secret



$x$

Run MPC for  $f'(x, \cdot) = f(x)$ !



$\perp$

	Communication Complexity	Tools
Reduce to Sudoku (or something...)	$\text{poly}( f )$	lightweight (commitments)
Run 2PC	$O( f )$	heavyweight (i.e. "public key" operations)

# MPC from Lightweight Tools

Workarounds:

- More participants ...

we can get  $t$ -privacy for  $t < \frac{n}{2}$  using only lightweight tools

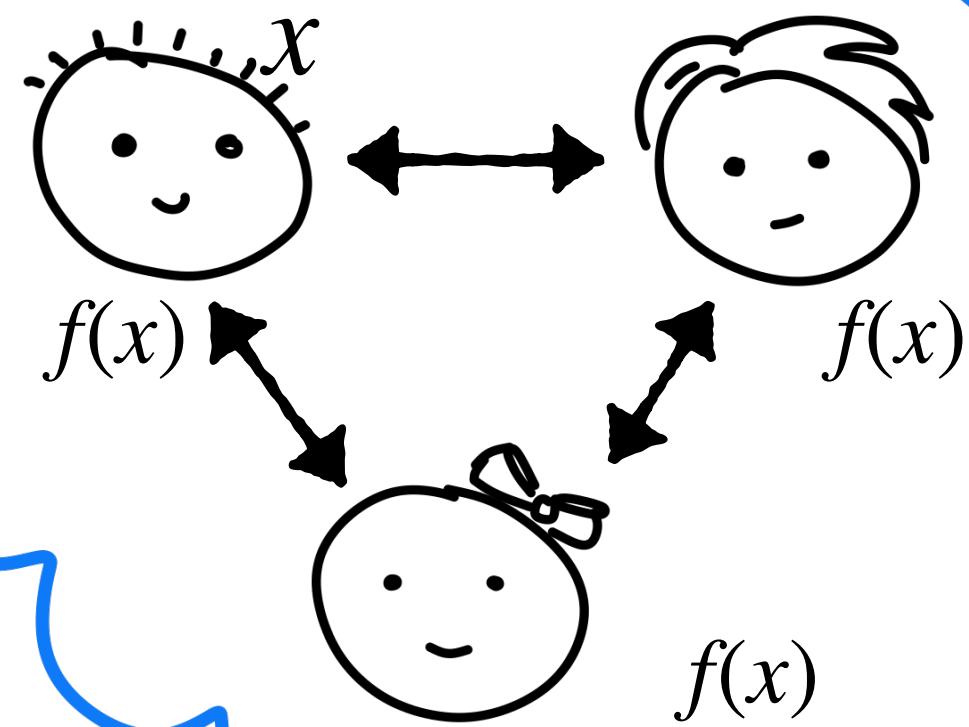
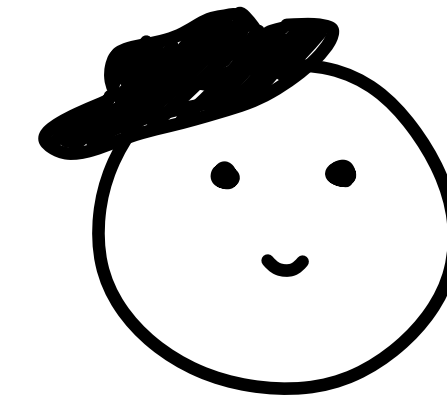
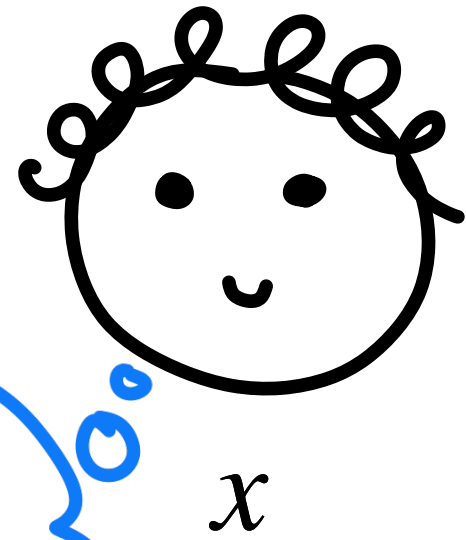
e.g.:  $n = 3, t = 1$

- Correlated randomness

# ZKP from MPC: Attempt 2

Goal:

- convince Dani of  $f(x) = 1$
- while keeping  $x$  secret

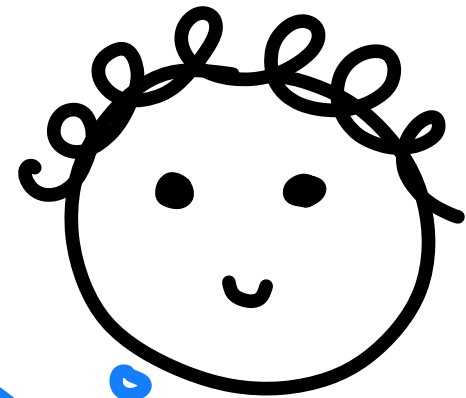


MPC for  $f'(x, \cdot, \cdot) = f(x)$

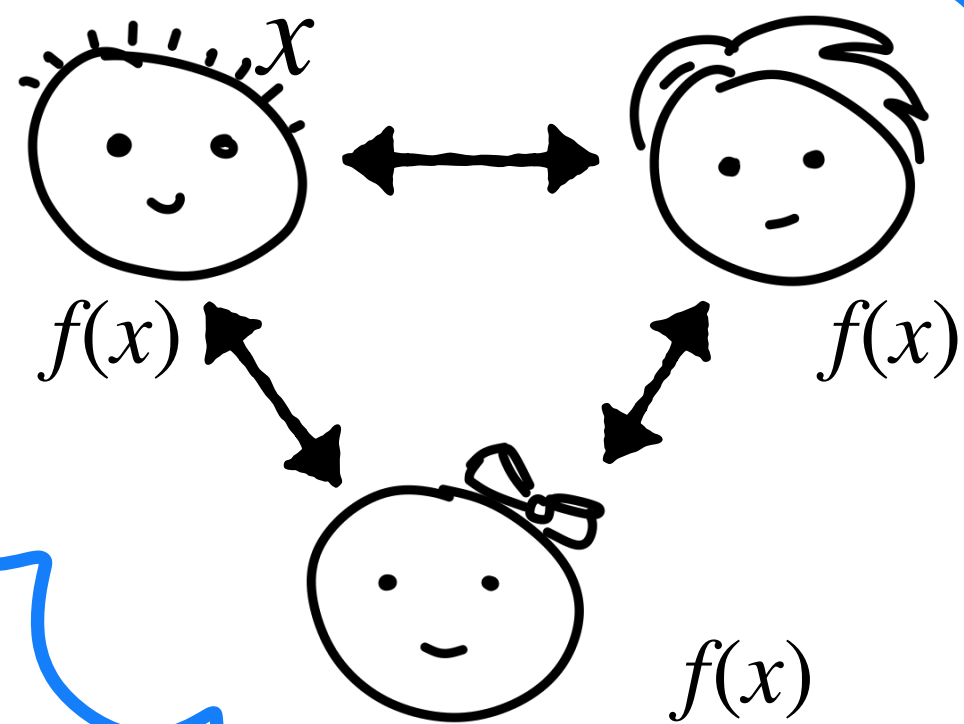
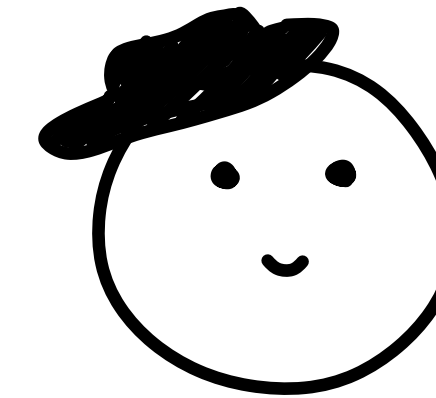
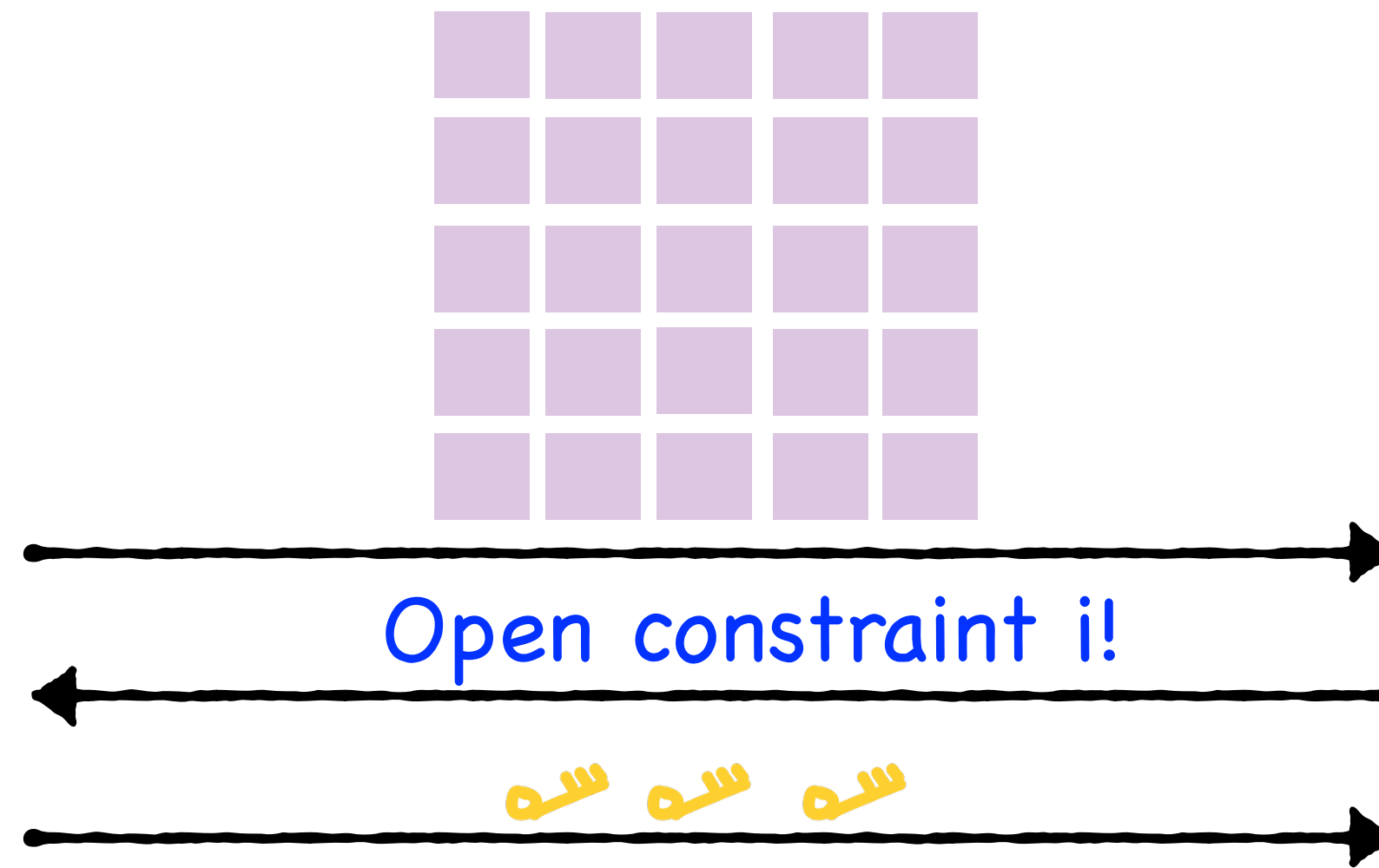
# Recall our first ZKP...

Goal:

- convince Dani of  $f(x) = 1$
- while keeping  $x$  secret



$x$



MPC for  $f'(x, \cdot, \cdot) = f(x)$

Constraints s.t.

- one reveals nothing
- if all of them hold, the claim is true

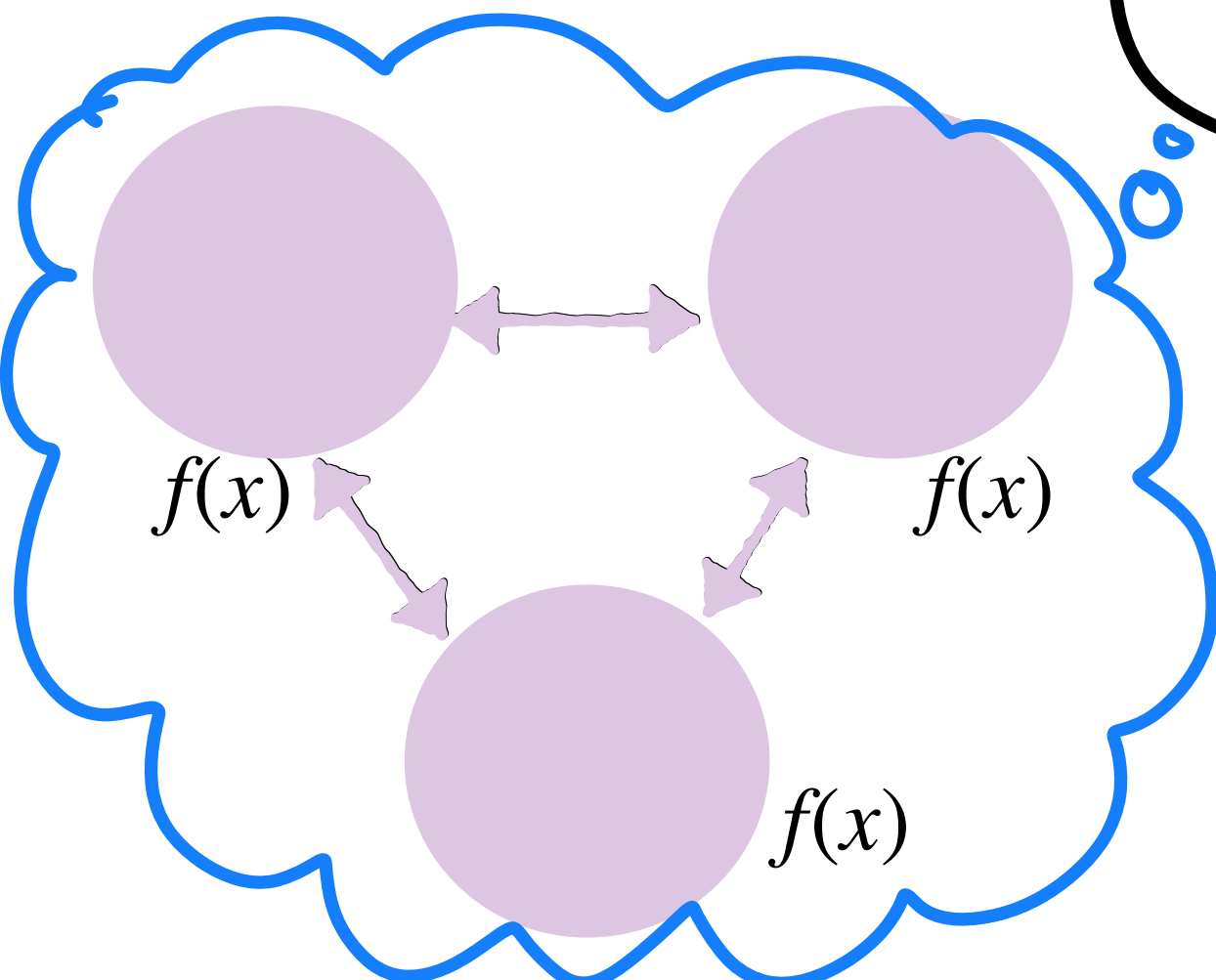
# ZKP from MPC: Attempt 2

Goal:

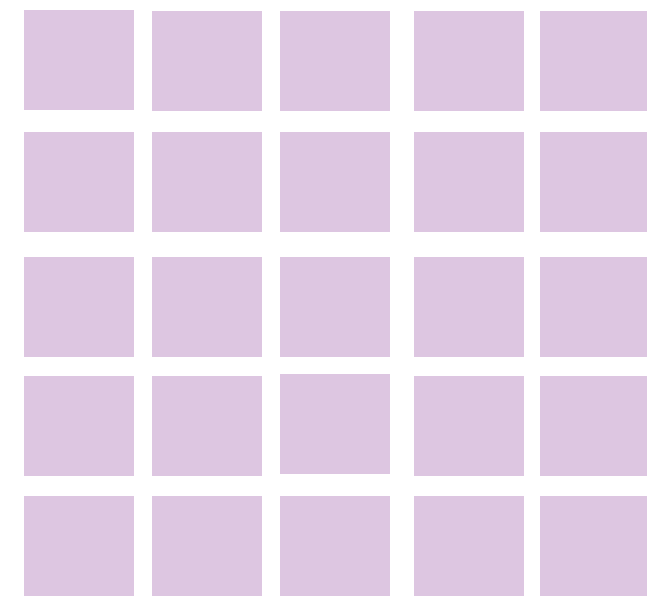
- convince Dani of  $f(x) = 1$
- while keeping  $x$  secret



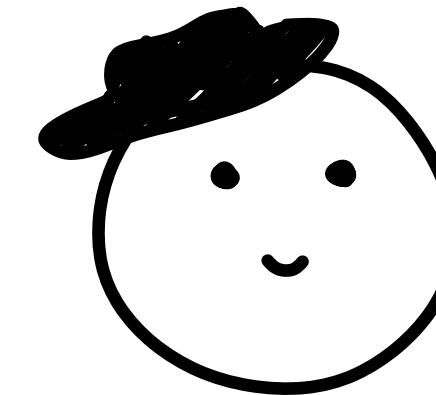
$x$



MPC for  $f'(x, \cdot, \cdot) = f(x)$



Open constraint  $i!$



Constraints s.t.

- one reveals nothing
- if all of them hold, the claim is true

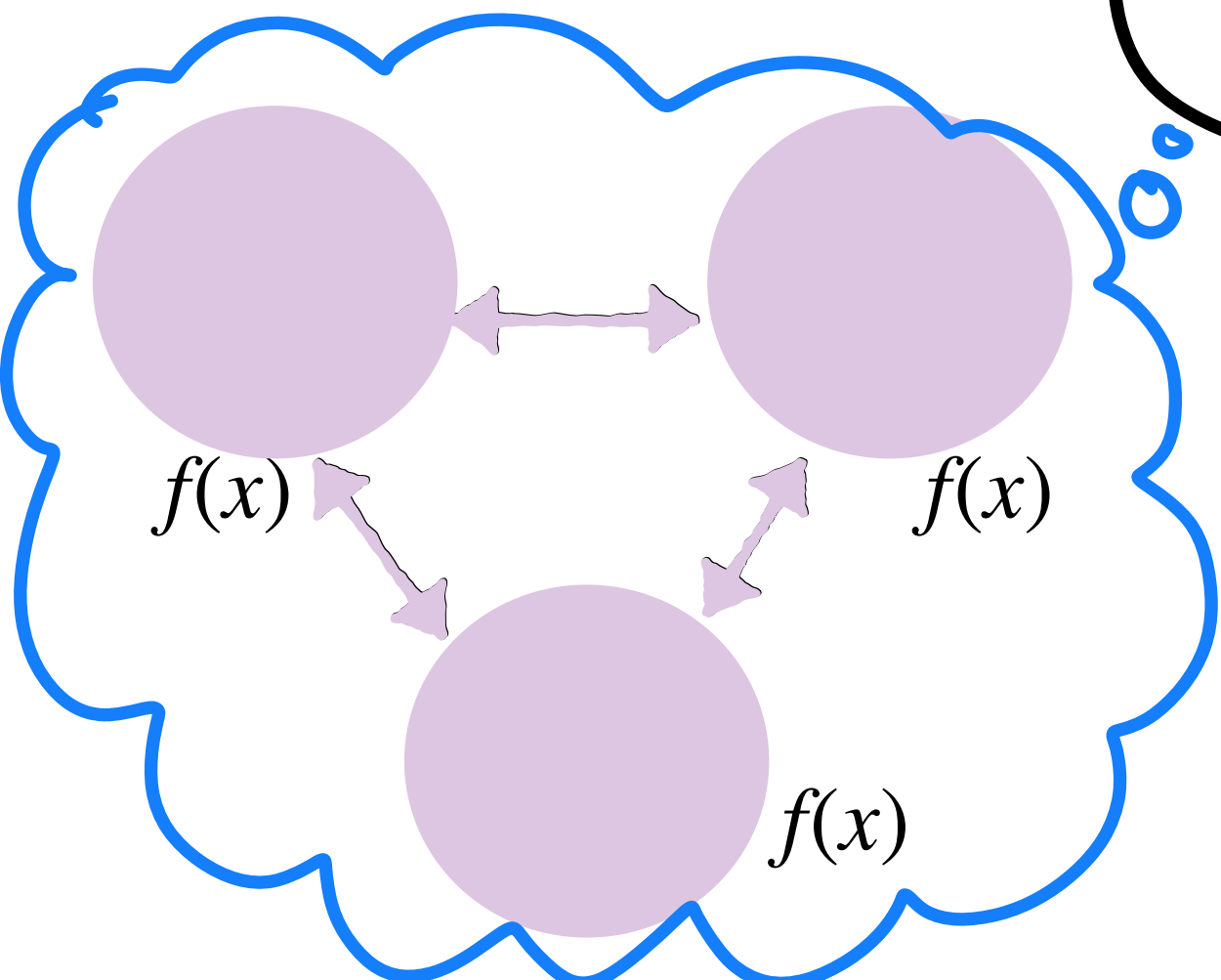
# ZKP from MPC: Attempt 2

Goal:

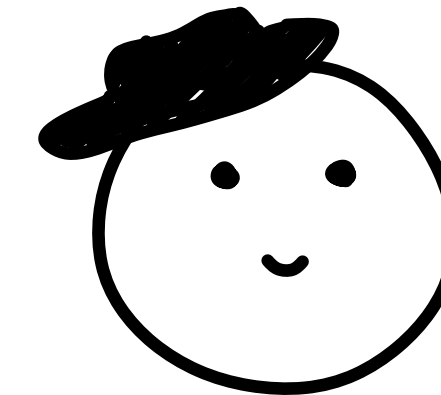
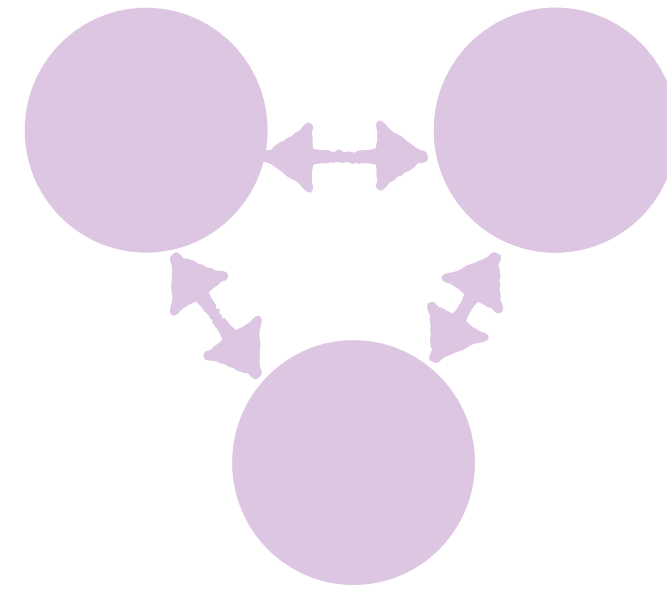
- convince Dani of  $f(x) = 1$
- while keeping  $x$  secret



$x$



MPC for  $f'(x, \cdot, \cdot) = f(x)$



Open(, ) → party i's choices  
Open(, ) → messages  
Open(, ) → messages

party i did not cheat,  
and  $f(x) = 1$

Constraints s.t.

- one reveals nothing
- if all of them hold, the claim is true

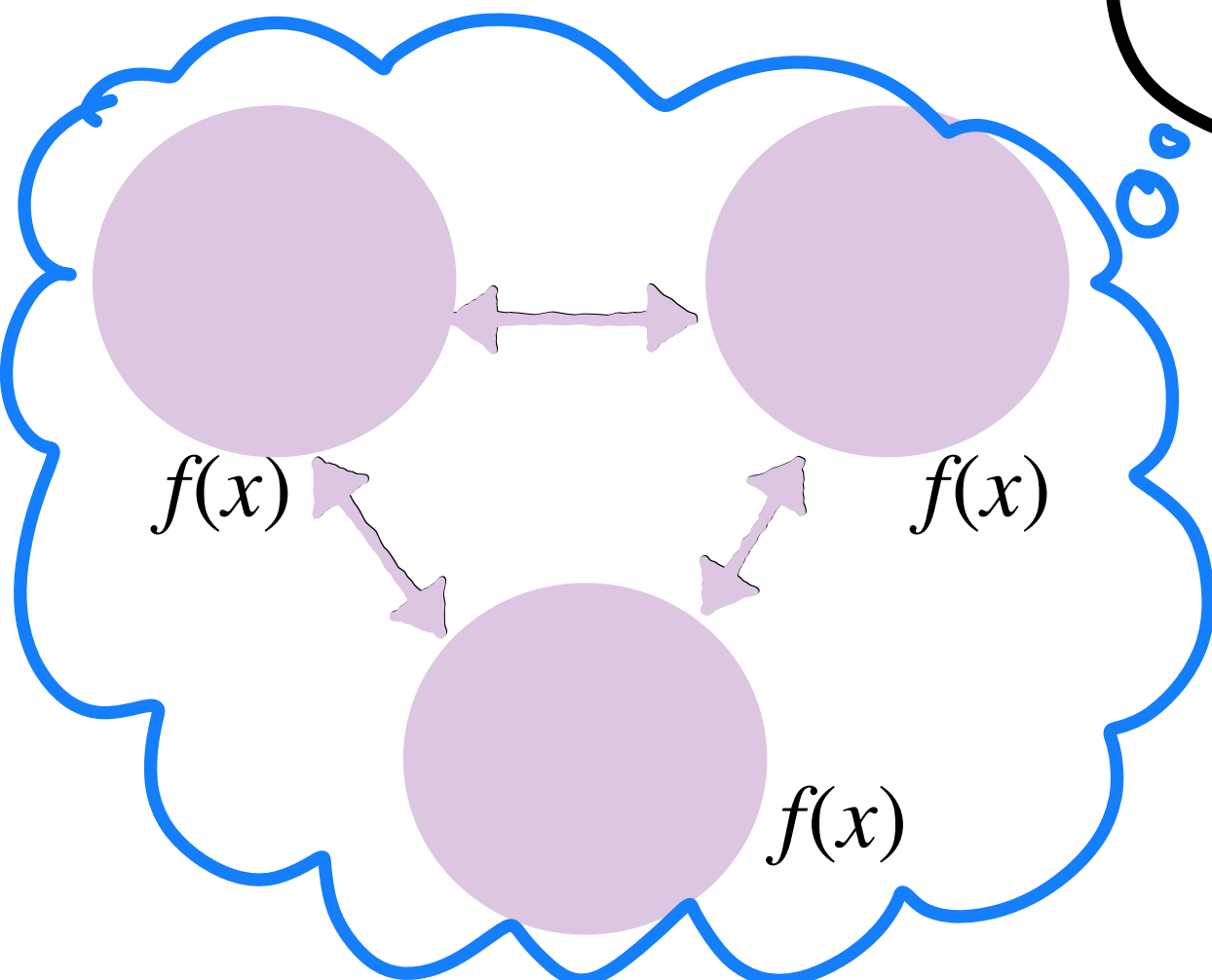
# ZKP from MPC: Attempt 2

Goal:

- ✓ convince Dani of  $f(x) = 1$
- while keeping  $x$  secret

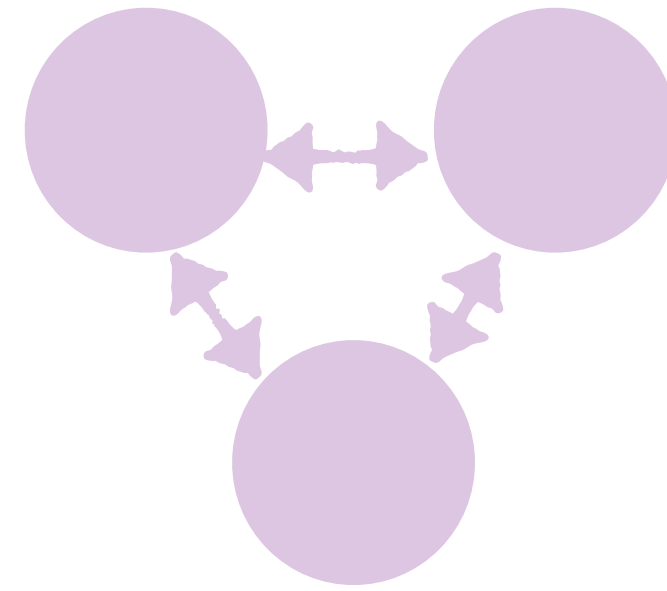


$x$



MPC for  $f'(x, \cdot, \cdot) = f(x)$  with:

- 1-privacy
- ✓ perfect correctness



Open view  $i!$

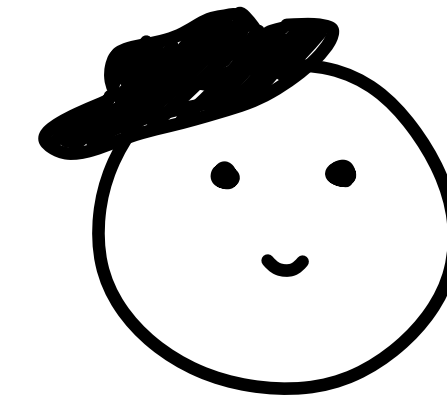


party  $i$  did not cheat,  
and  $f(x) = 1$

Constraints s.t.

- one reveals nothing

✓ if all of them hold, the claim is true



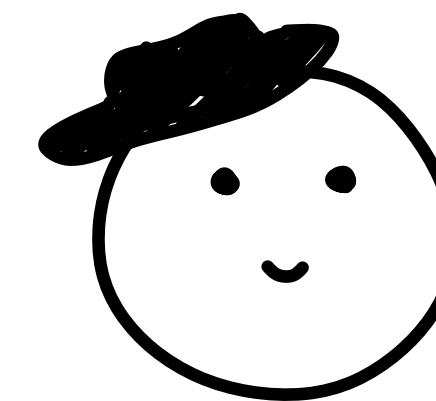
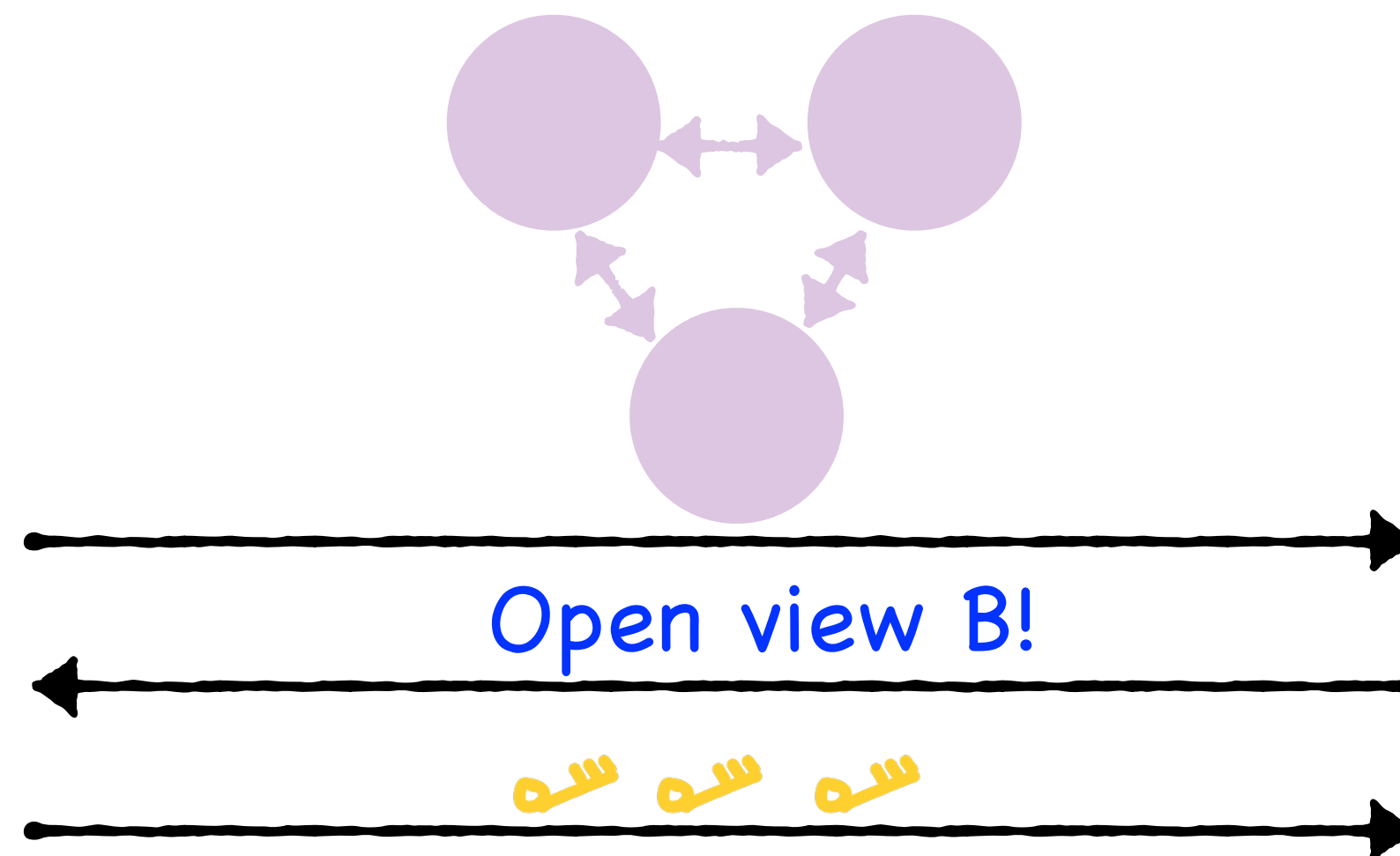
if Alice  
cheated, she can  
get away with it  
with probability  $2/3$

repeat  $k$  times,  
s.t  $(2/3)^k$  is  
small enough.

# ZKP from MPC: Attempt 2

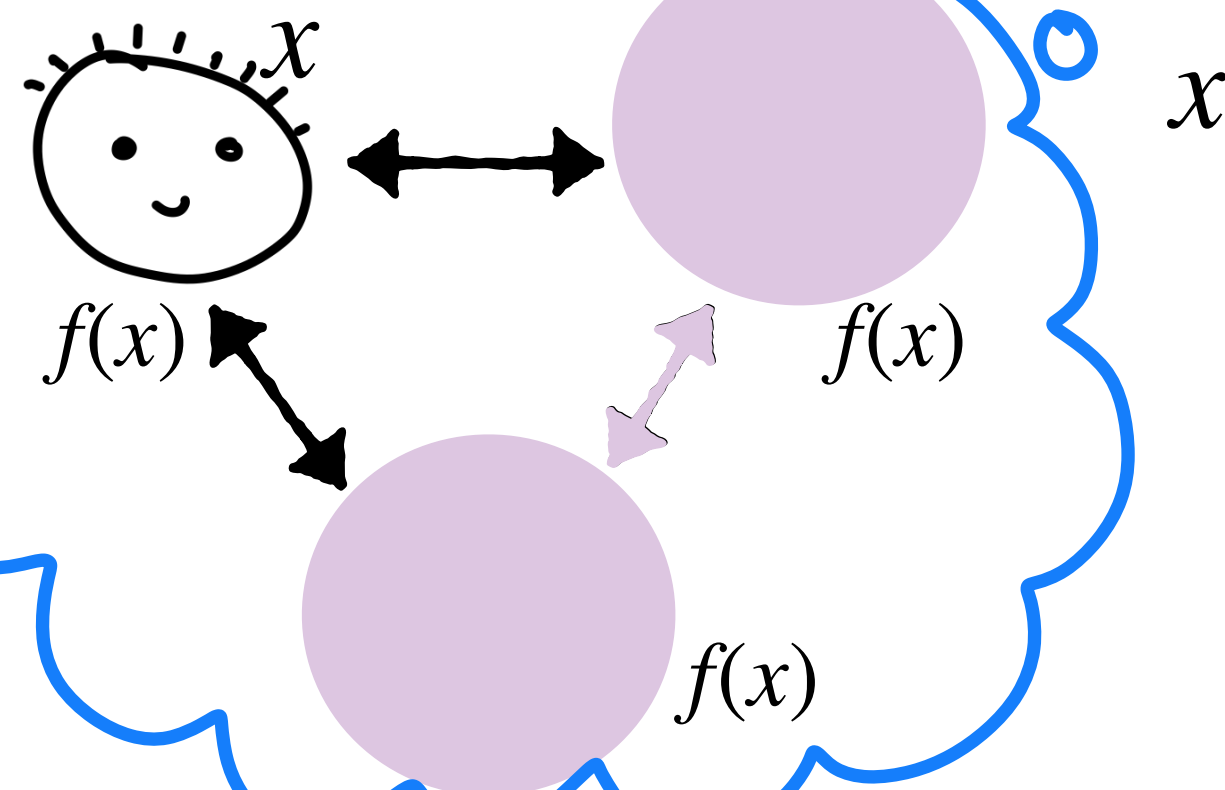
Goal:

- ✓ convince Dani of  $f(x) = 1$
- ✗ while keeping  $x$  secret



if Alice cheated, she can get away with it with probability  $2/3$

repeat  $k$  times, s.t  $(2/3)^k$  is small enough.



MPC for  $f'(x, \cdot, \cdot) = f(x)$  with:

- 1-privacy

✓ perfect correctness

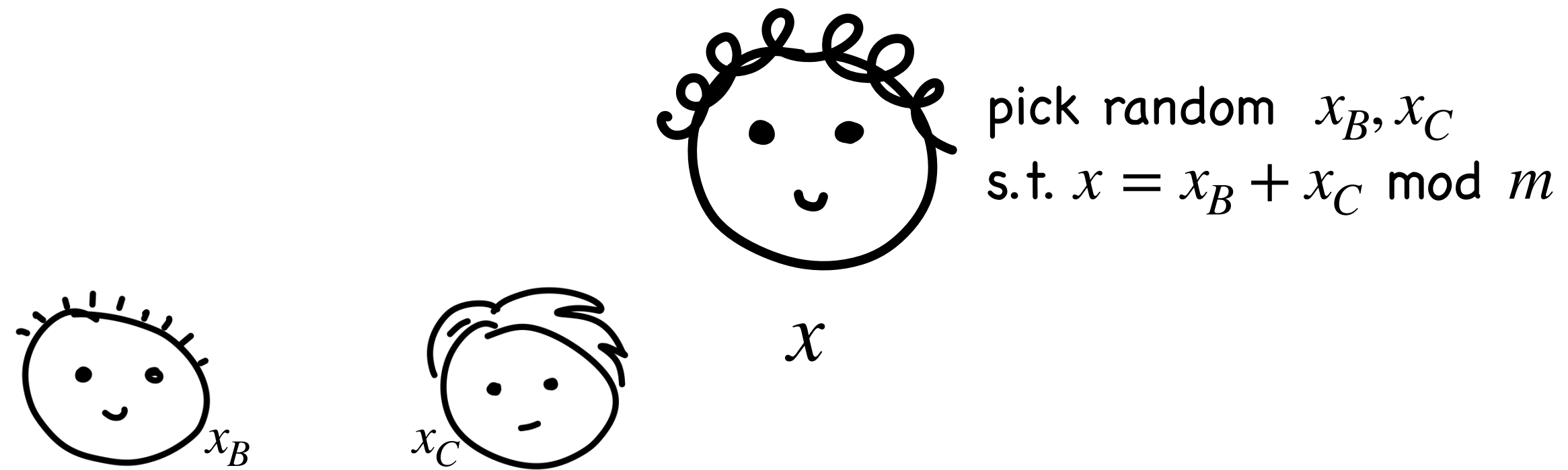
party  $i$  did not cheat, and  $f(x) = 1$

Constraints s.t.

- one reveals nothing

✓ if all of them hold, the claim is true

# Tool: Secret Sharing



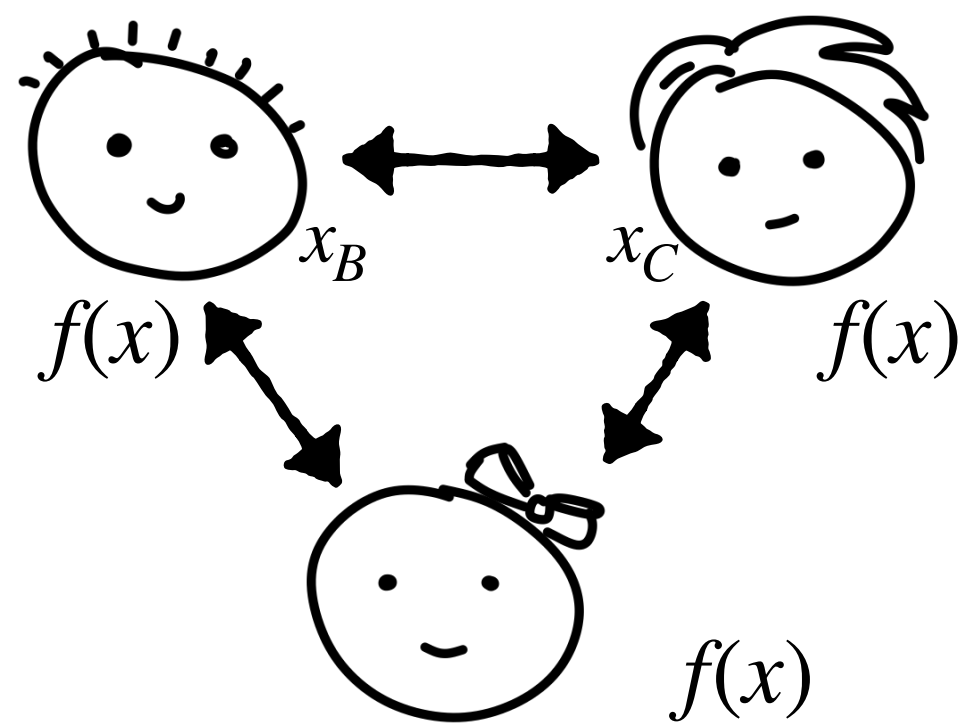
privacy:  $x_B, x_C$  alone look random

together, they determine  $x$ :  $x = x_B + x_C \pmod m$

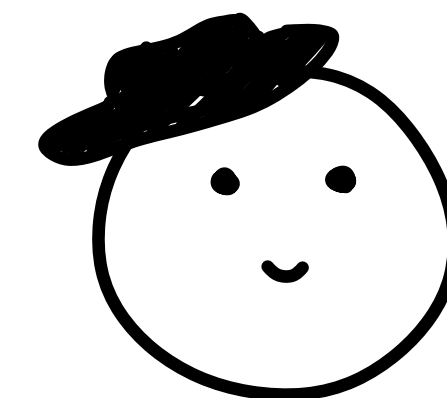
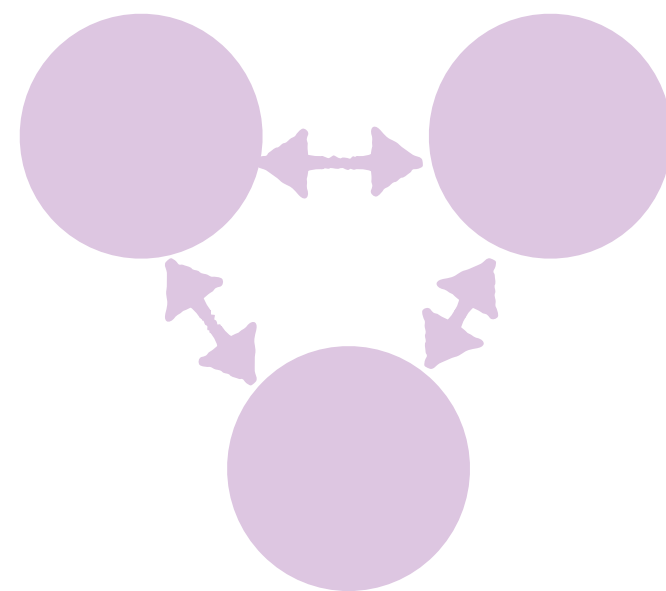
# ZKP from MPC: Attempt 2 (more parties)

Goal:

- ✓ convince Dani of  $f(x) = 1$
- ✓ while keeping  $x$  secret



$x$



if Alice cheated, she can get away with it with probability  $2/3$

MPC for  $f'(x_B, x_C, \cdot) = f(x_B + x_C)$  with:

✓ 1-privacy

✓ perfect correctness

Constraints s.t.

✓ one reveals nothing

✓ if all of them hold, the claim is true

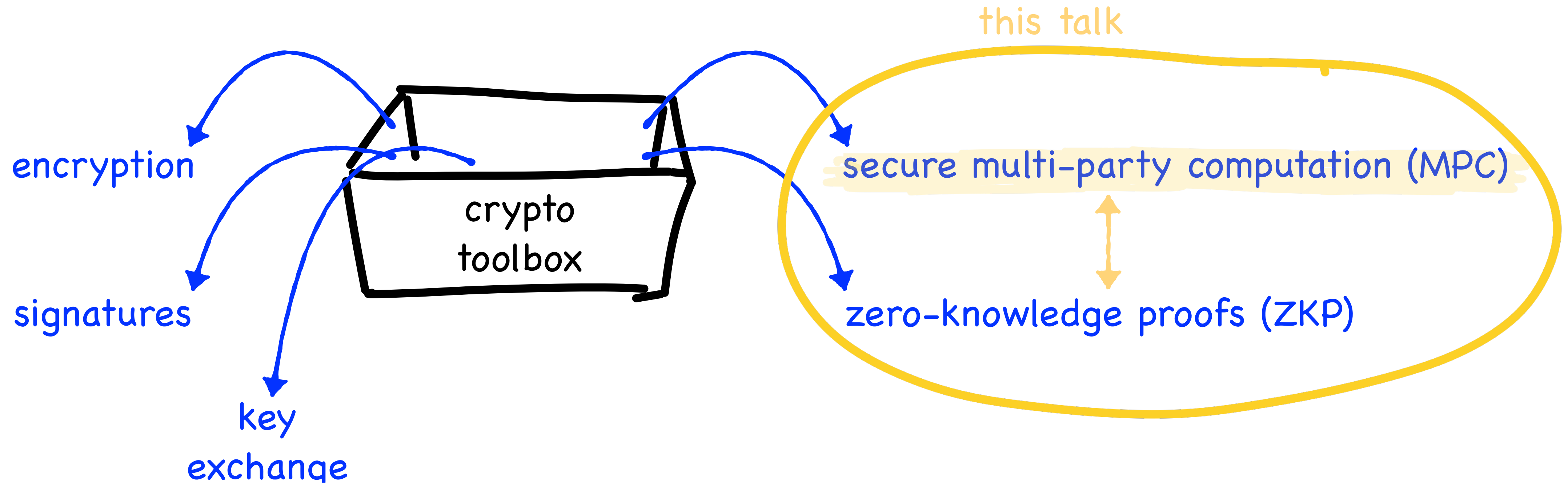
repeat  $k$  times,  
s.t  $(2/3)^k$  is  
small enough.

# ZKP from MPC

	Communication Complexity	Tools
Reduce to Sudoku (or something...)	$\text{poly}( f )$	lightweight (commitments)
Run MPC	$O( f )$	heavyweight (i.e. "public key" operations)
Run MPC in the Head	$O( f )$	

# ZKP from MPC

	Communication Complexity	Tools
Reduce to Sudoku (or something...)	$\text{poly}( f )$	lightweight (commitments)
Run MPC	$O( f )$	heavyweight (i.e. "public key" operations)
Run MPC in the Head	$O( f )$	lightweight (commitments)



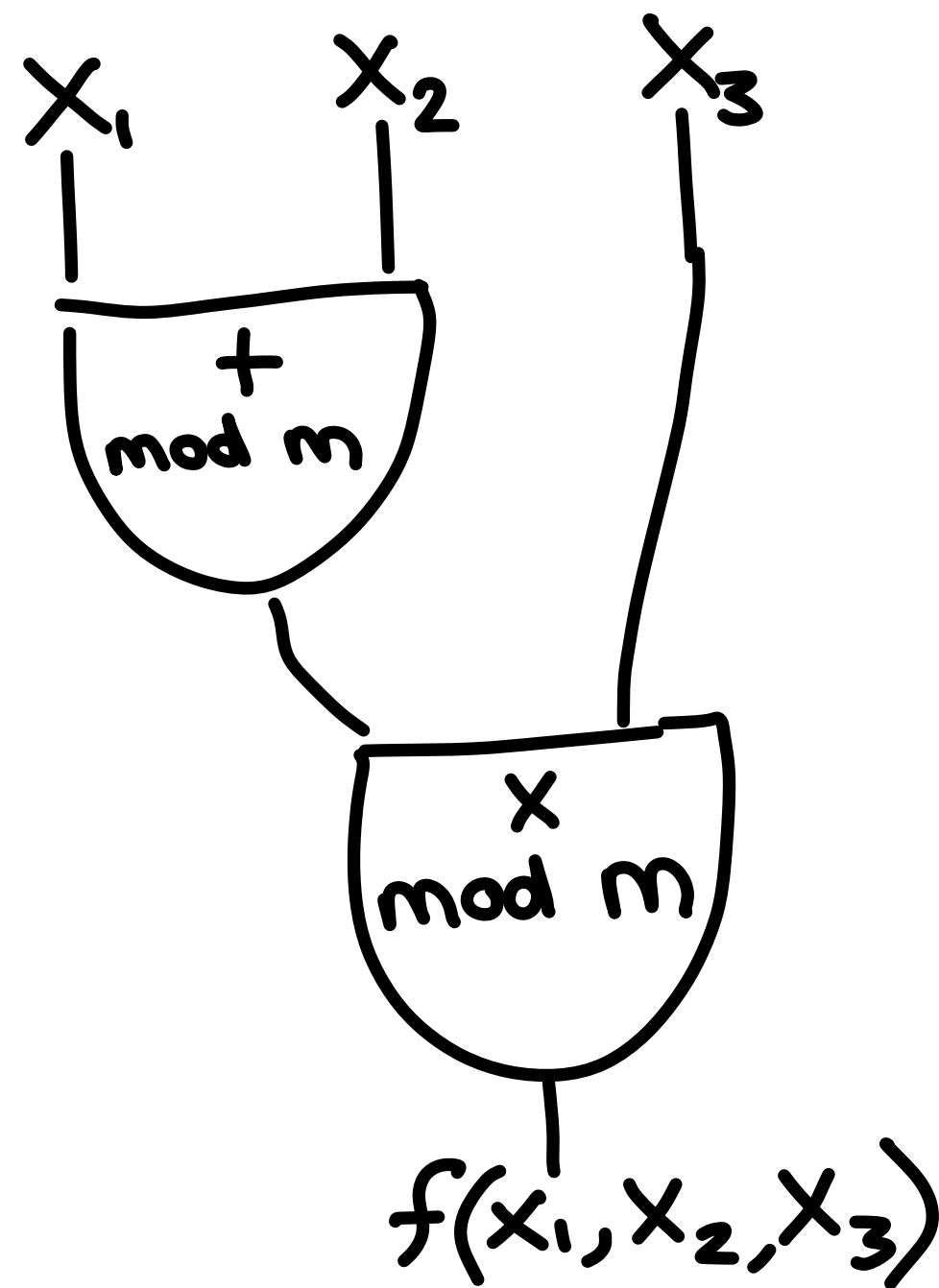
# MPC from Lightweight Tools

Workarounds:

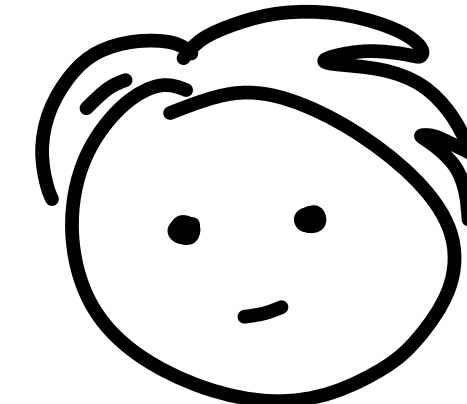
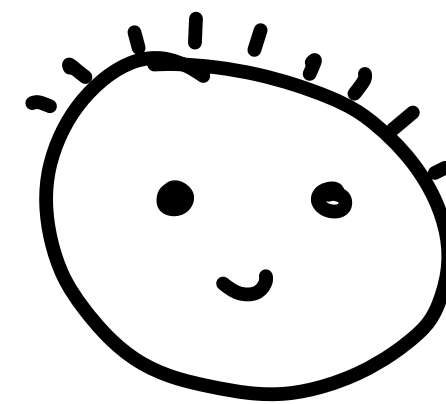
- More participants ...  
s.t. we get  $t$ -privacy for  $t < \frac{n}{2}$ ,  $n$  being the number of participants  
e.g.:  $n = 3, t = 1$
- Correlated randomness

# MPC from Correlated Randomness

Step 1: express  $f$  as a circuit



Invariant: for wire value  $w$ , we have  $w =$



$w_B$

+

$w_C$

(mod  $m$ )

Input  $x$ :

secret share  $x$

Add  $x$  and  $y$ :

$x_B, y_B$

$x_C, y_C$

$$z_B = x_B + y_B$$

$$z_C = x_C + y_C$$

$$z_B + z_C = (x_B + y_B) + (x_C + y_C)$$

$$= (x_B + x_C) + (y_B + y_C)$$

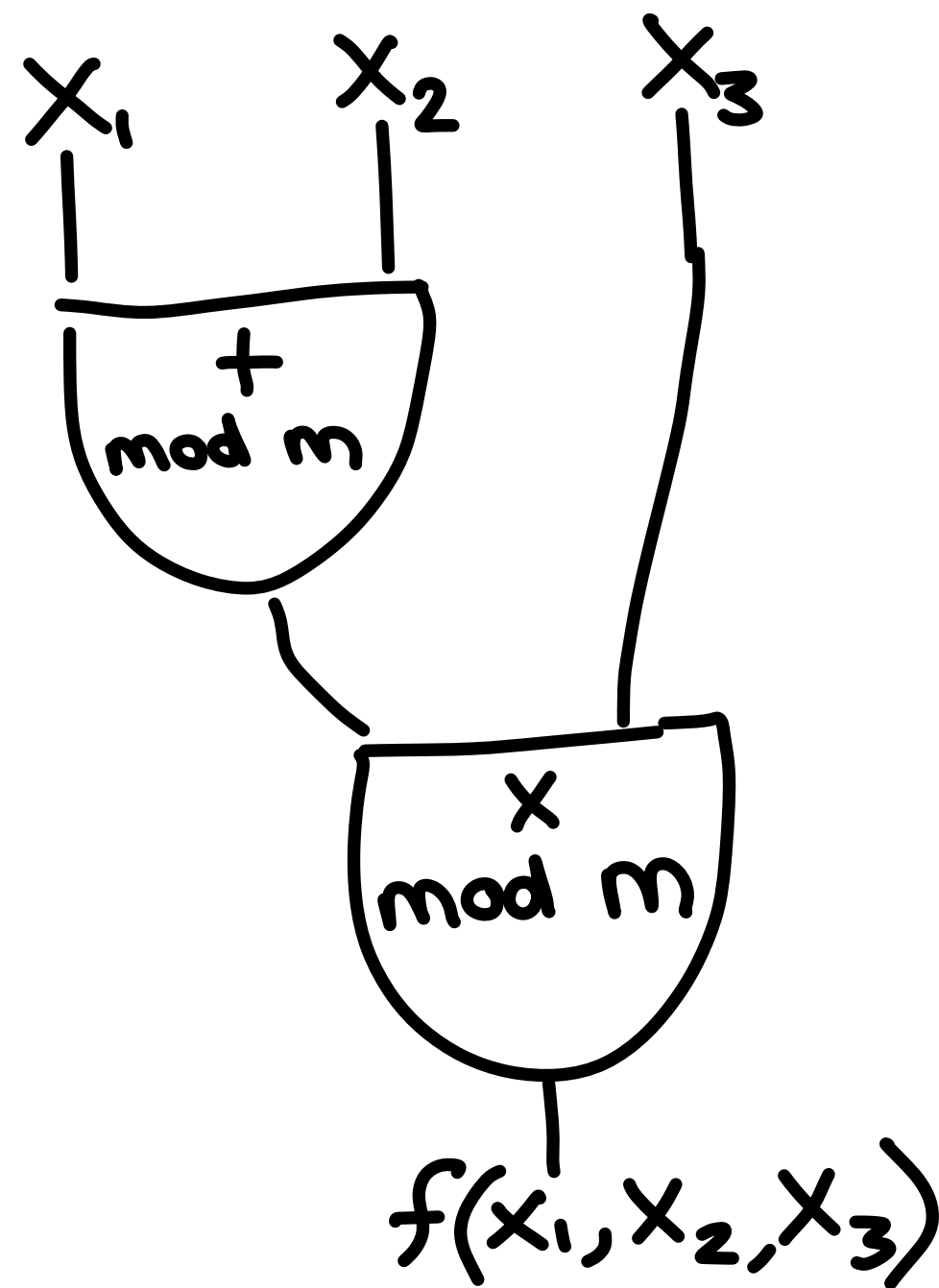
$$= x + y$$

(mod  $m$ )

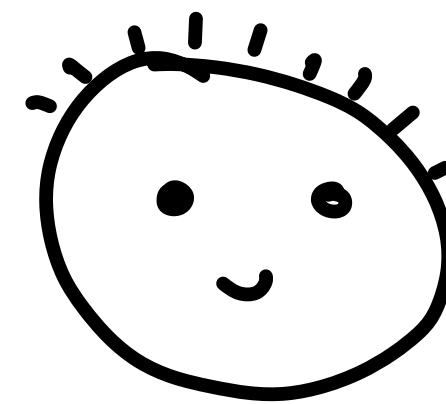
(mod  $m$ )

# MPC from Correlated Randomness

Step 1: express  $f$  as a circuit

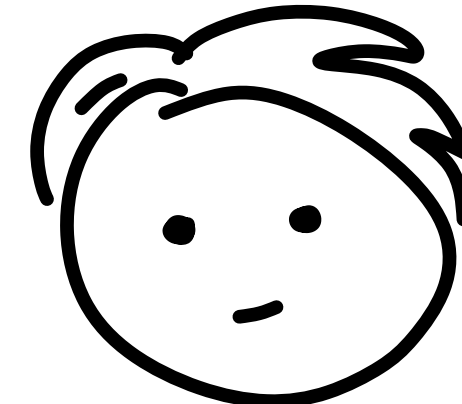


Invariant: for wire value  $w$ , we have  $w =$



$w_B$

+



$w_C$

$(\text{mod } m)$

Input  $x$ :

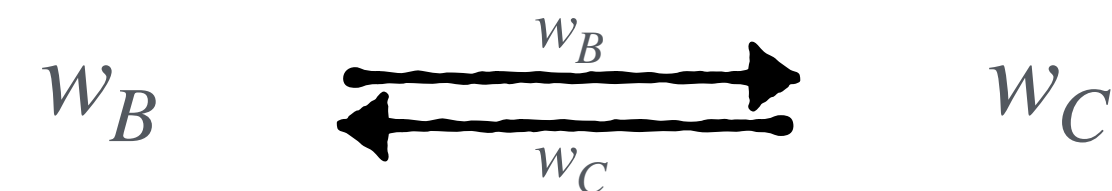
secret share  $x$

Add  $x$  and  $y$ :

$$z_B = x_B + y_B$$

$$z_C = x_C + y_C \pmod{m}$$

Open  $w$ :



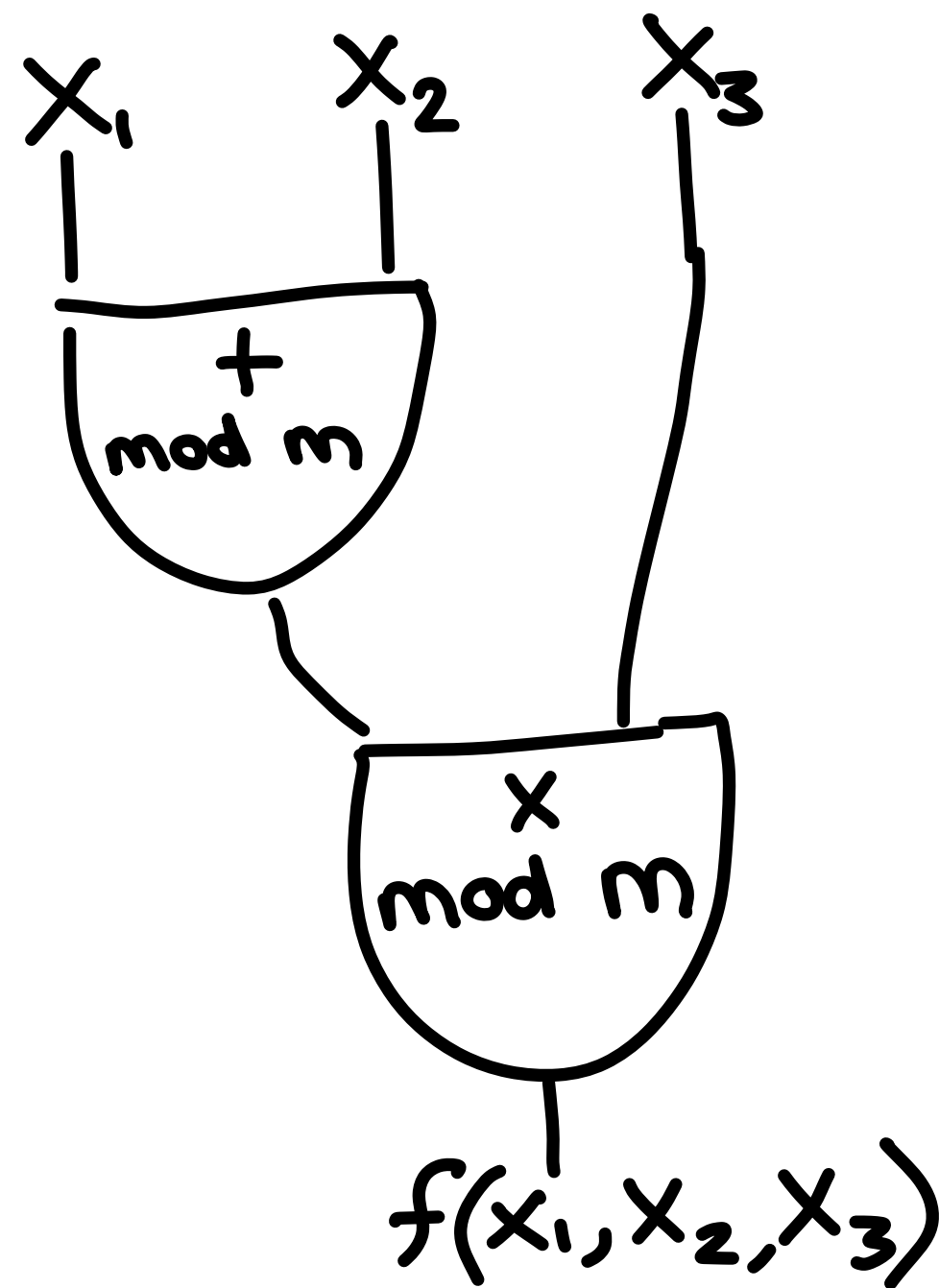
Mult  $x$  and  $y$ :

$$z = xy = (x_B + x_C)(y_B + y_C)$$

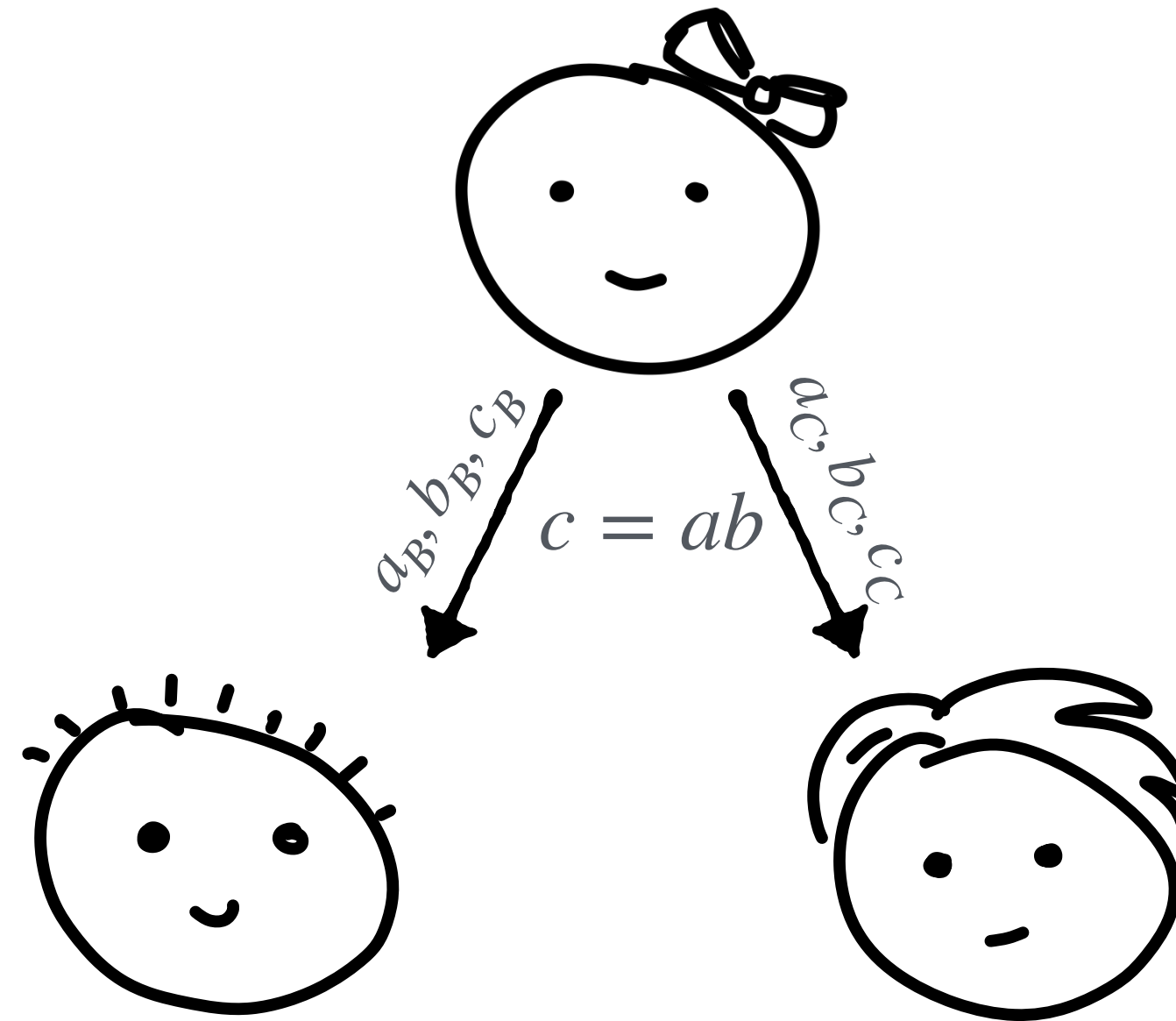
$$= x_B y_B + x_C y_C + x_B y_C + x_C y_B$$

# MPC from Correlated Randomness

Step 1: express  $f$  as a circuit



Invariant: for wire value  $w$ , we have  $w =$



$$w_B + w_C \pmod{m}$$

Input  $x$ : secret share  $x$

Add  $x$  and  $y$ :  $z_B = x_B + y_B$        $z_C = x_C + y_C \pmod{m}$

Open  $w$ :  $w_B \rightleftarrows w_C$

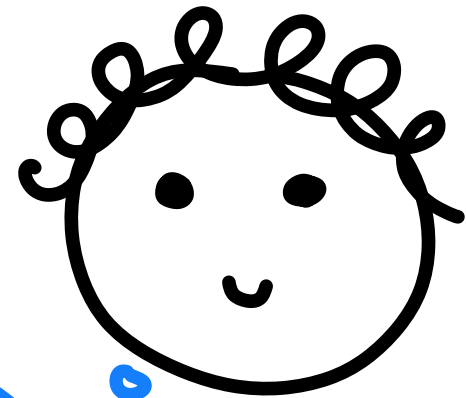
Mult  $x$  and  $y$ : some openings and additions

Done!

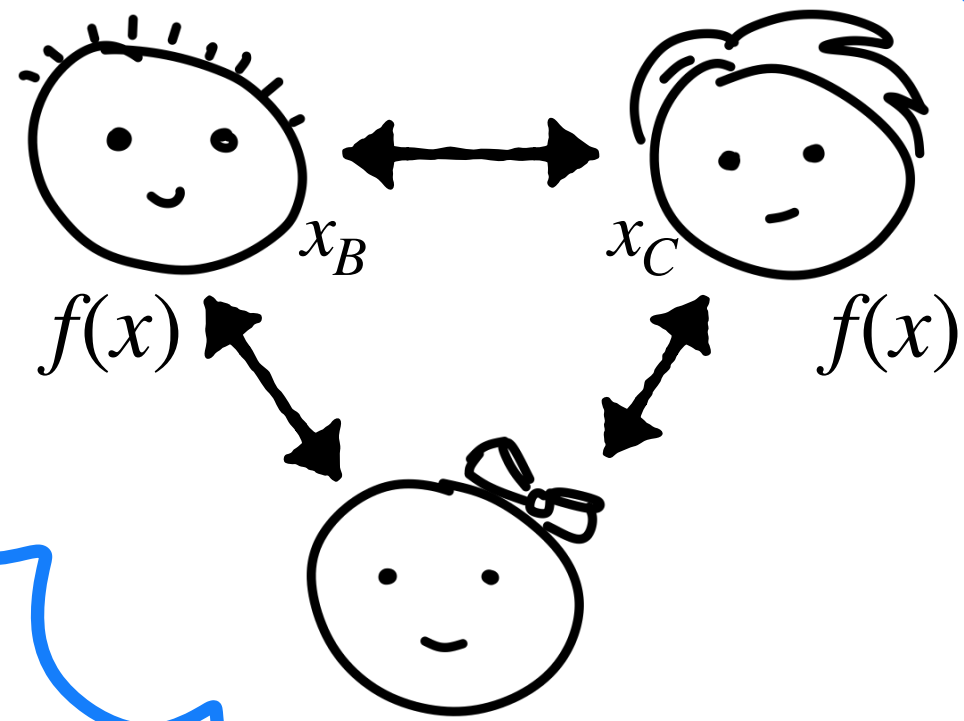
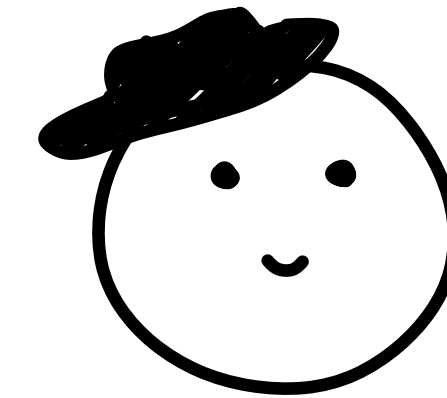
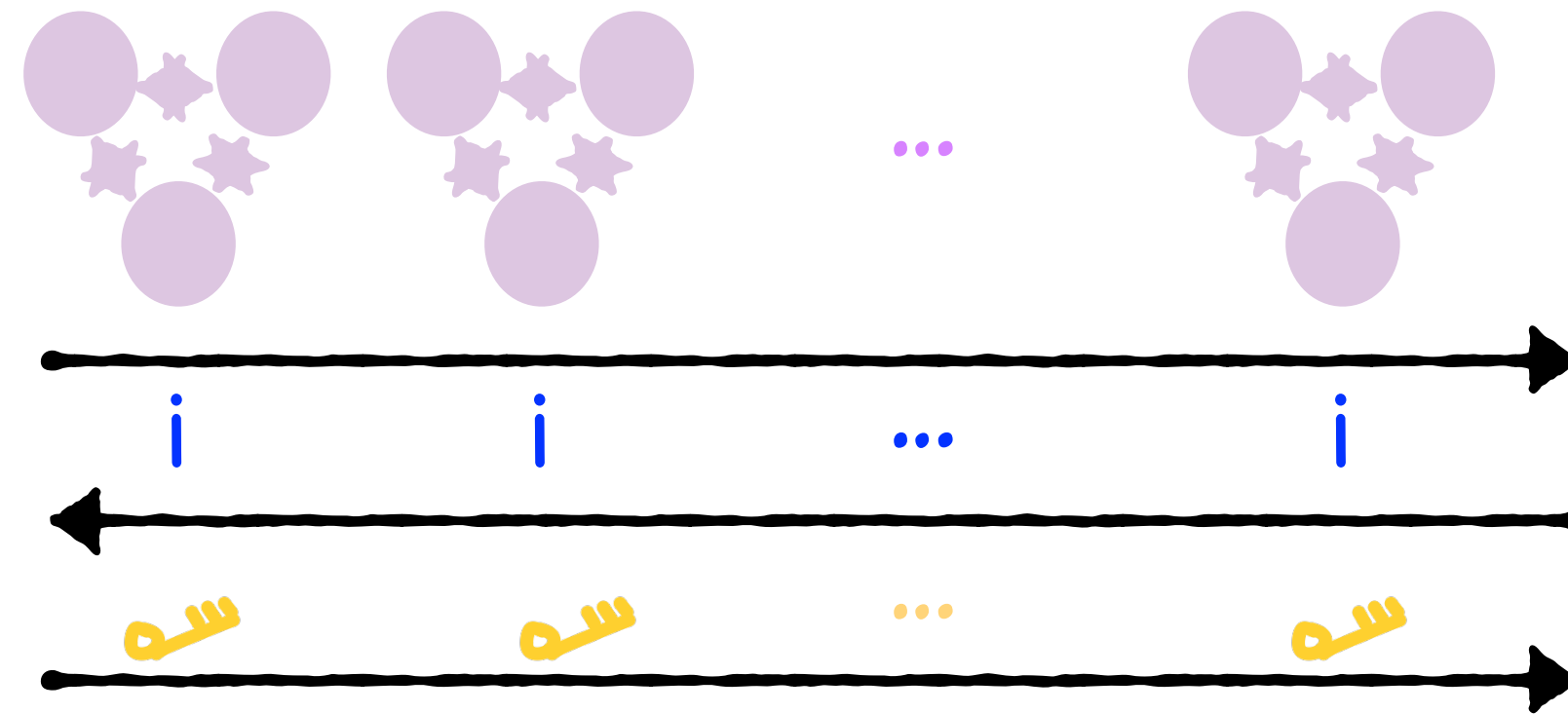
# ZKP from MPC: Summary

Goal:

- ✓ convince Dani of  $f(x) = 1$
- ✓ while keeping  $x$  secret



$x$



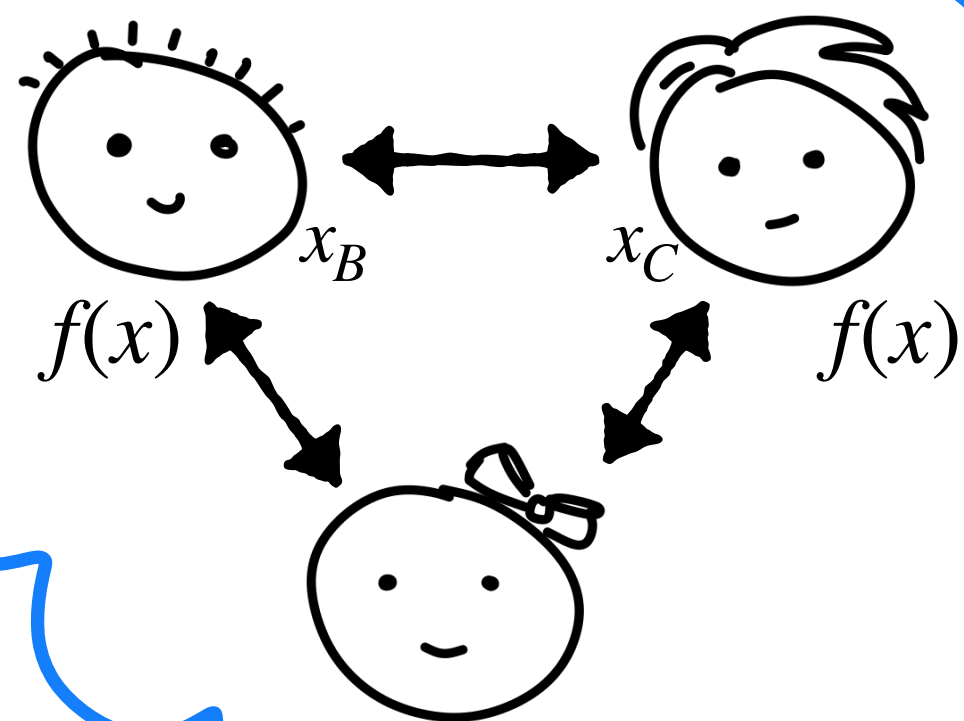
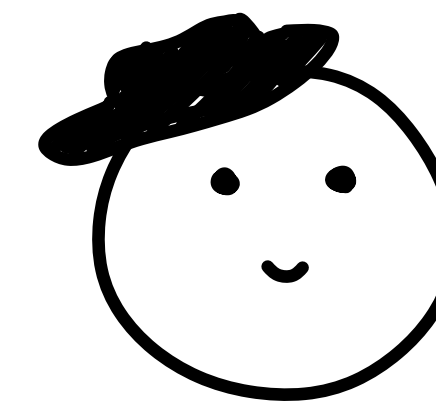
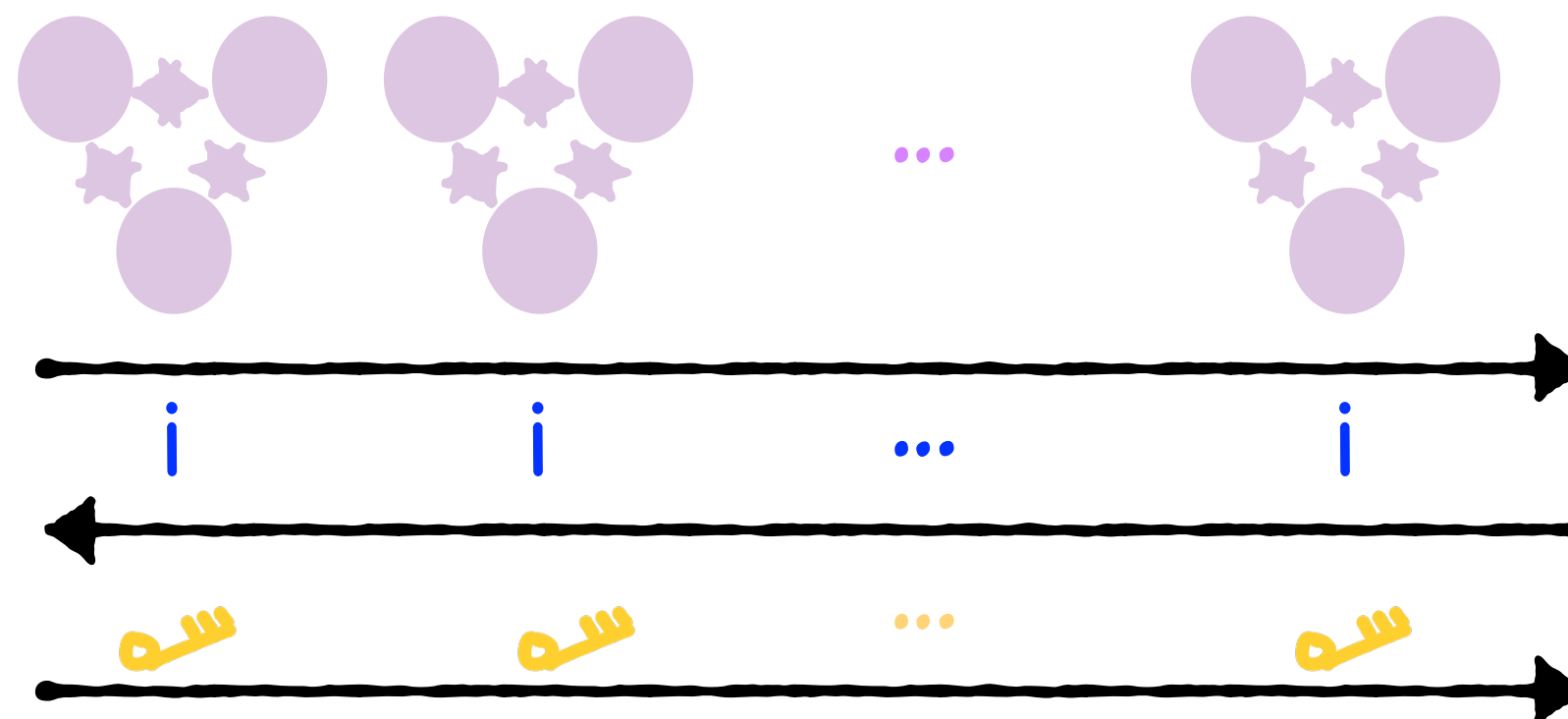
# Optimization: from 3 rounds to 1

Goal:

- ✓ convince Dani of  $f(x) = 1$
- ✓ while keeping  $x$  secret



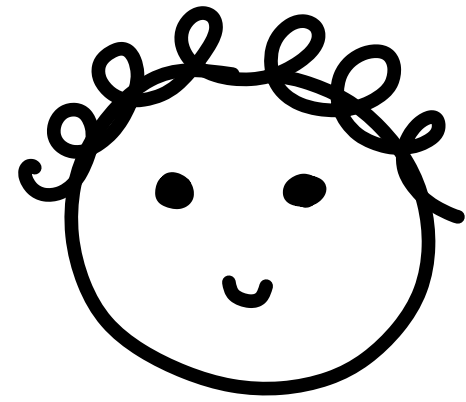
$x$



# Optimization: from 3 rounds to 1

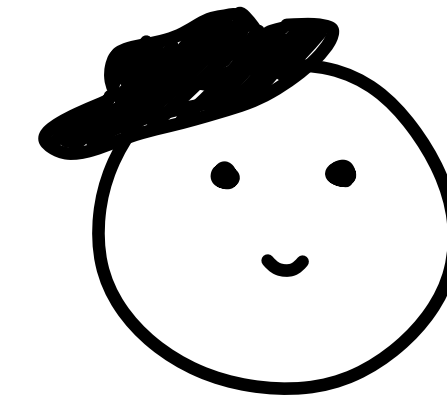
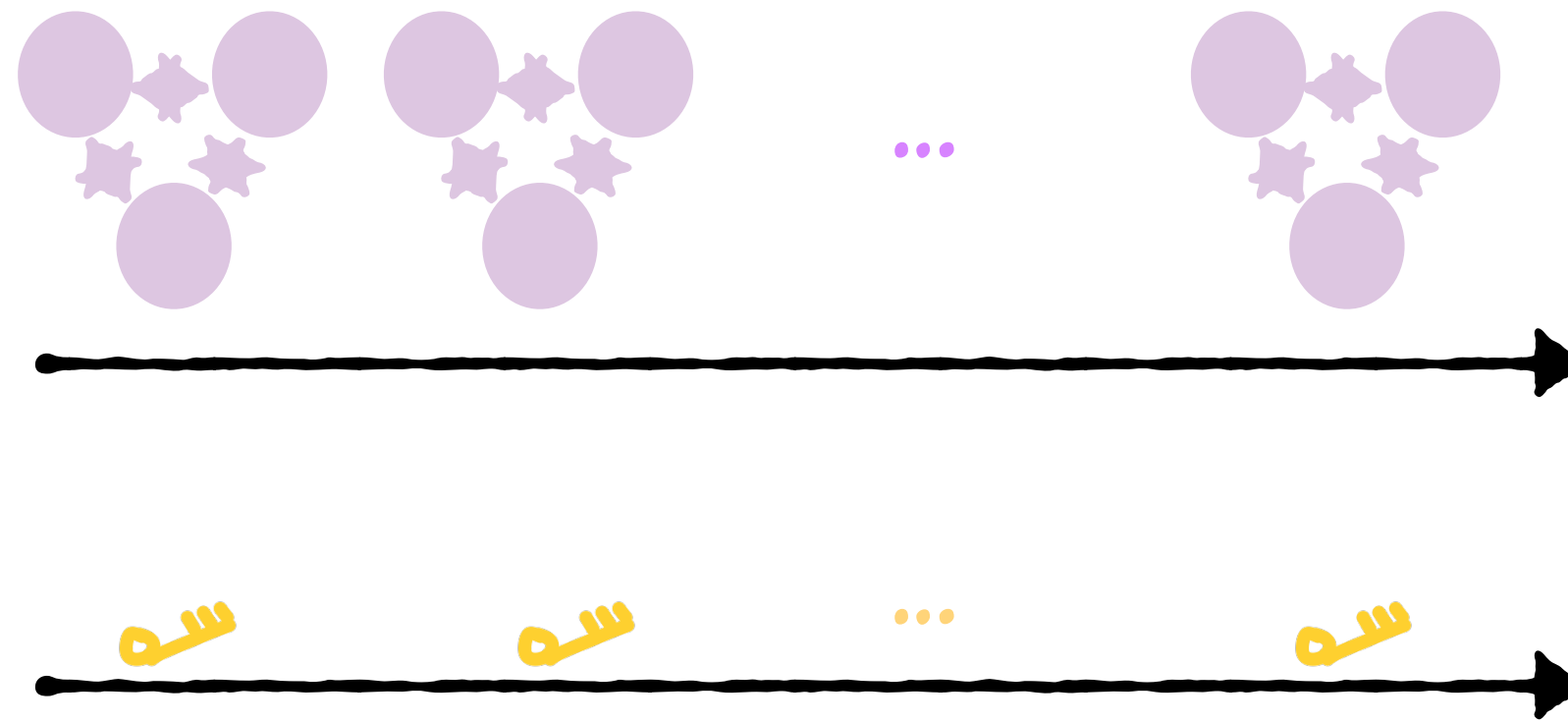
Goal:

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$x$

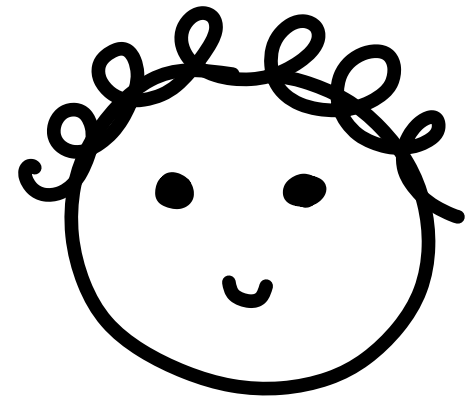
$i, i, \dots, i$  random



# Optimization: from 3 rounds to 1

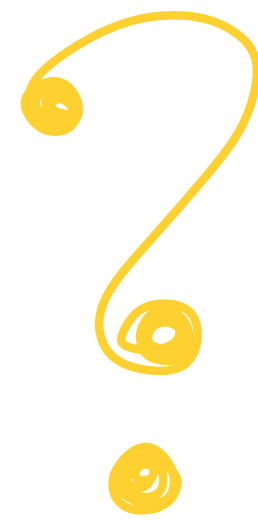
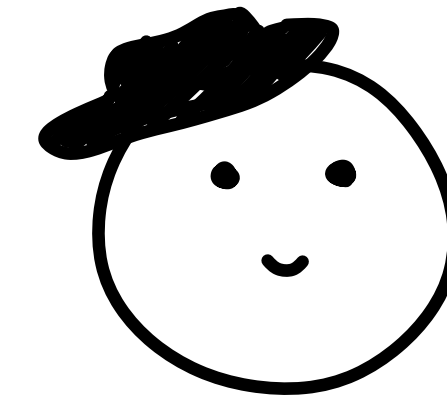
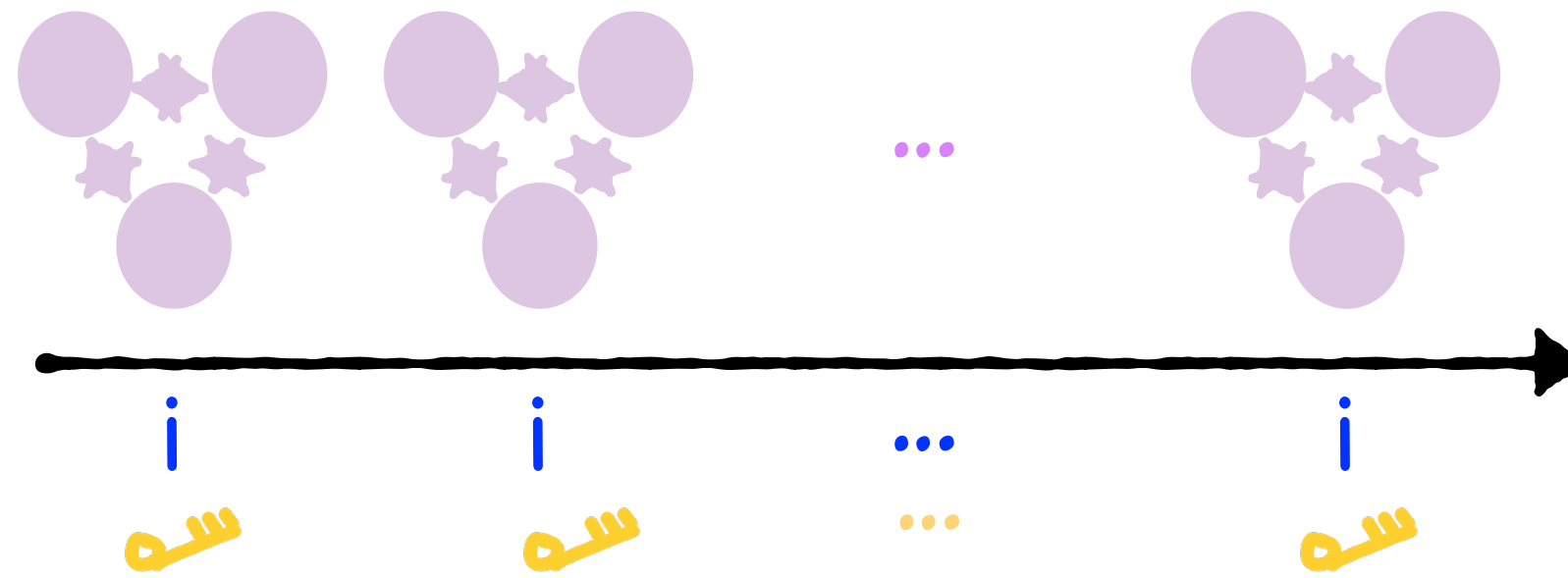
Goal:

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$x$

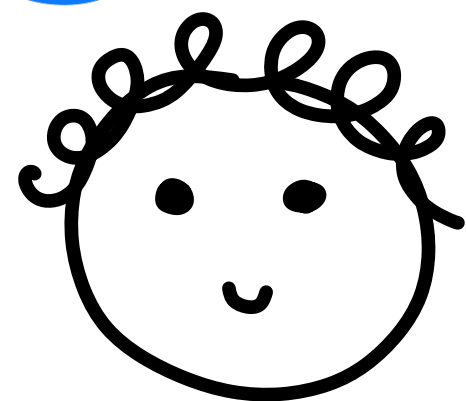
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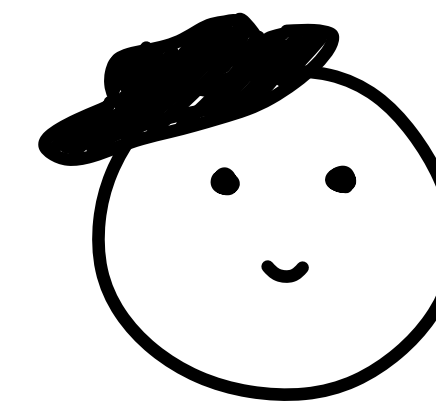
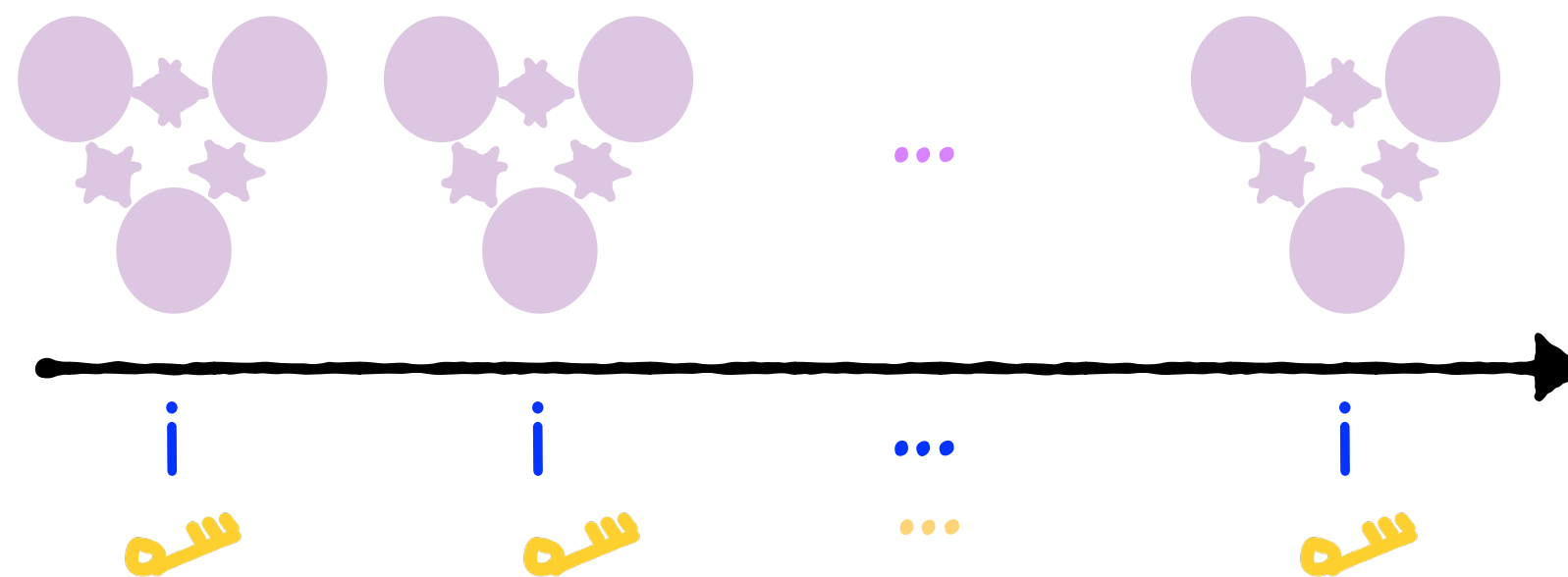
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$x$



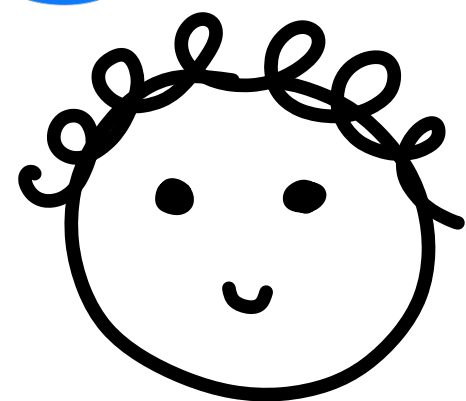
$$i, i, \dots, i = H(\text{commitment symbols})$$

H is a one-way function; hard to sample  $i$ 's before 

# Optimization: from 3 rounds to 1

Goal:

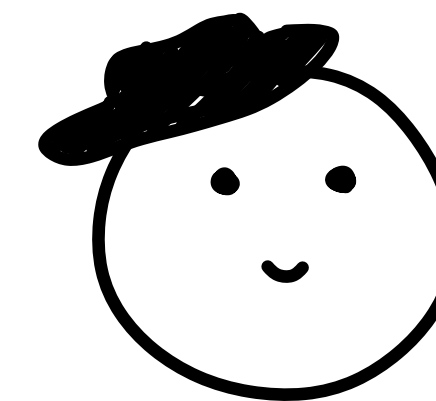
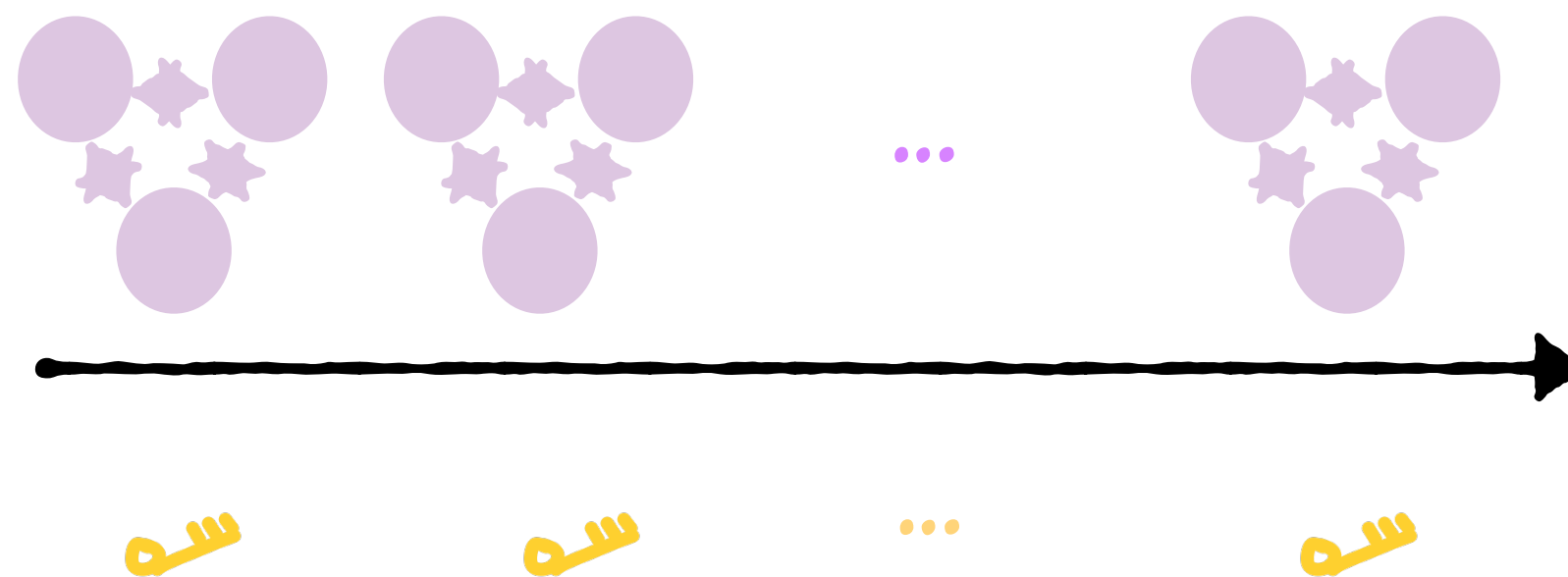
- ✓ convince Dani of  $f(x) = 1$
- ✓ while keeping  $x$  secret



$x$

$$i, i, \dots, i = H(\text{🌸🌸🌸})$$

H is a one-way function; hard to sample  $i$ 's before 🌸



$$i, i, \dots, i = H(\text{🌸🌸🌸})$$

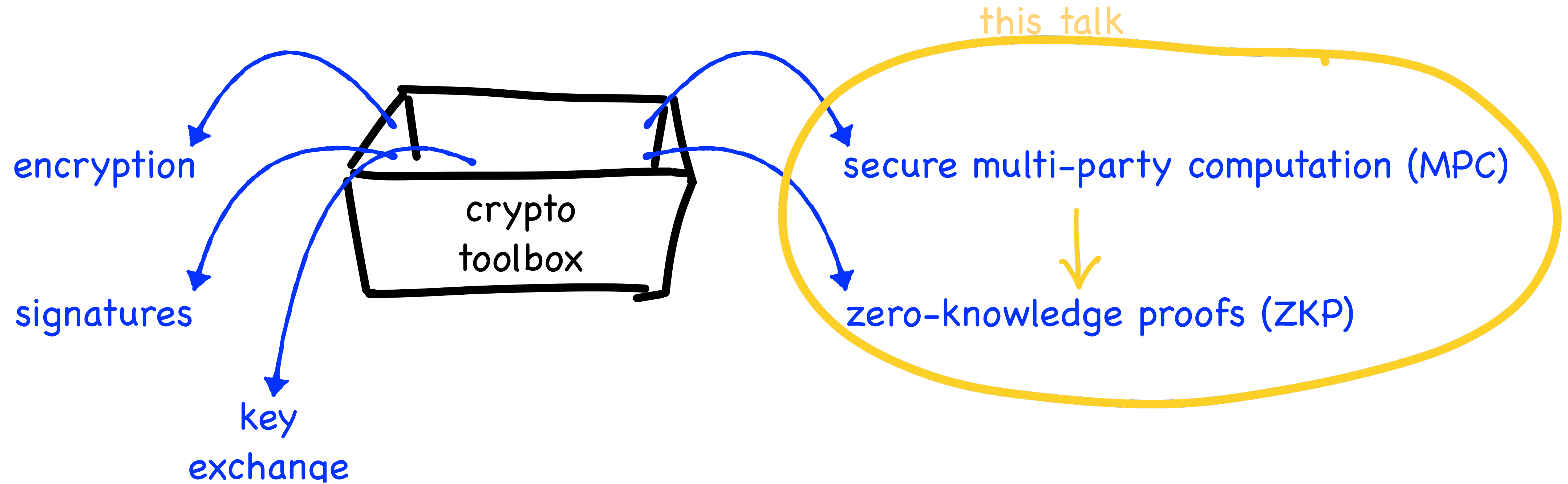
For each  $i$ :

Open(🌸, 🗝️) → party  $i$ 's view

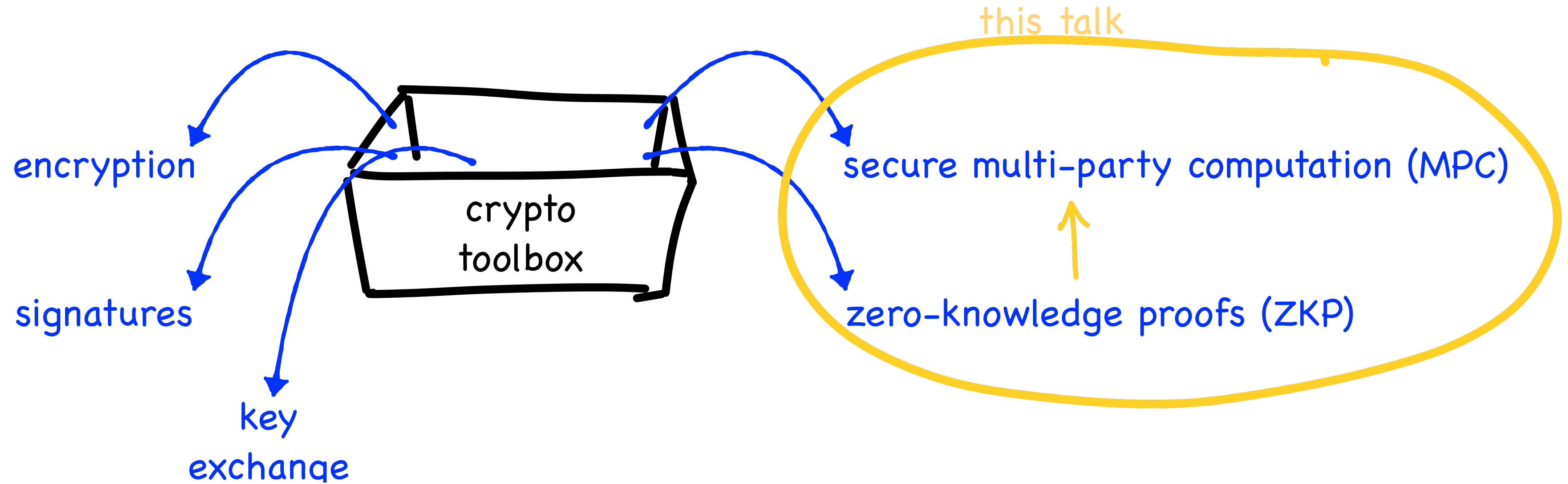
Check that:

- party  $i$  did not cheat, and
- $f(x) = 1$

# Up til now...

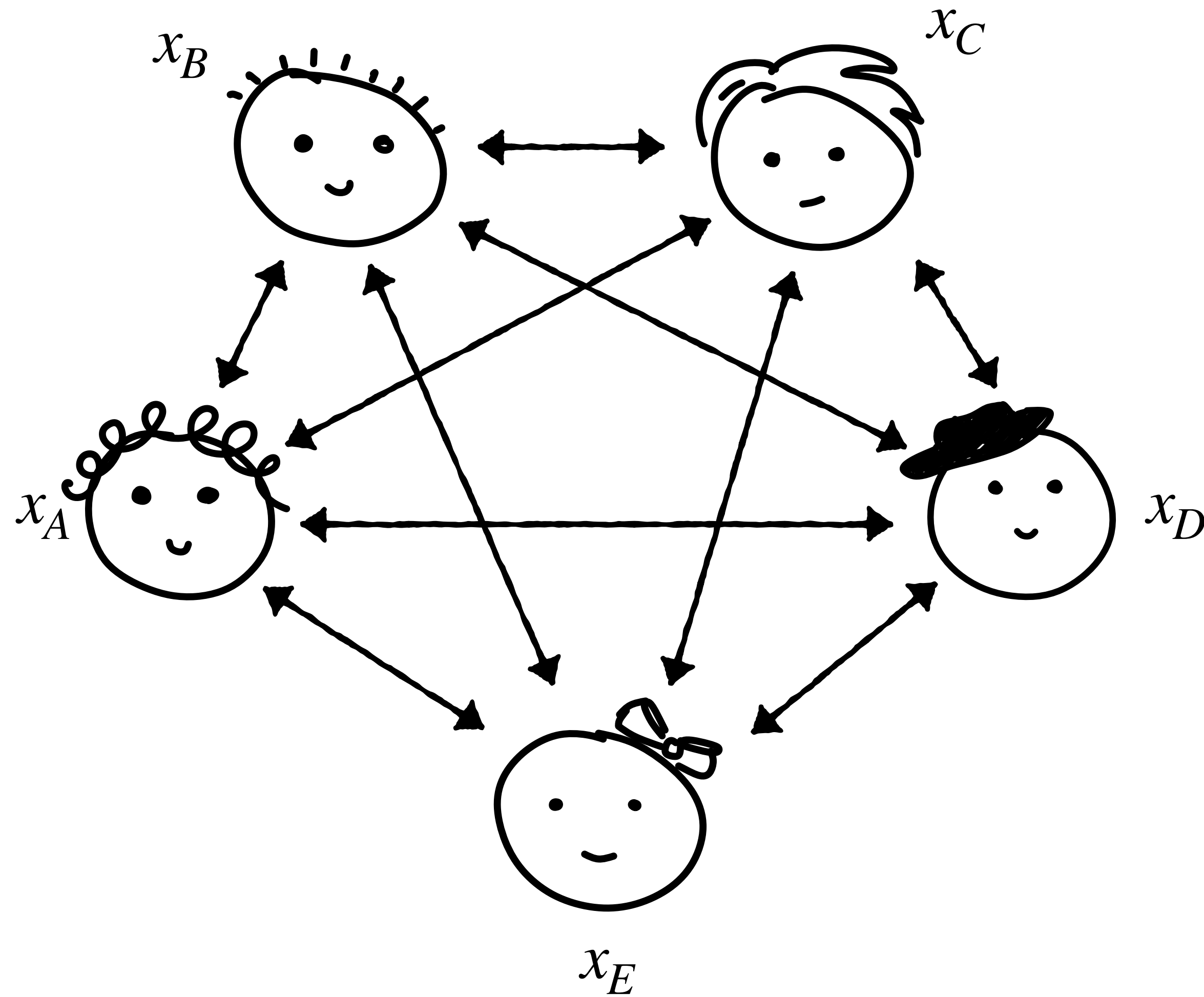


# Briefly:



# Back to MPC

What about "active" corruptions?



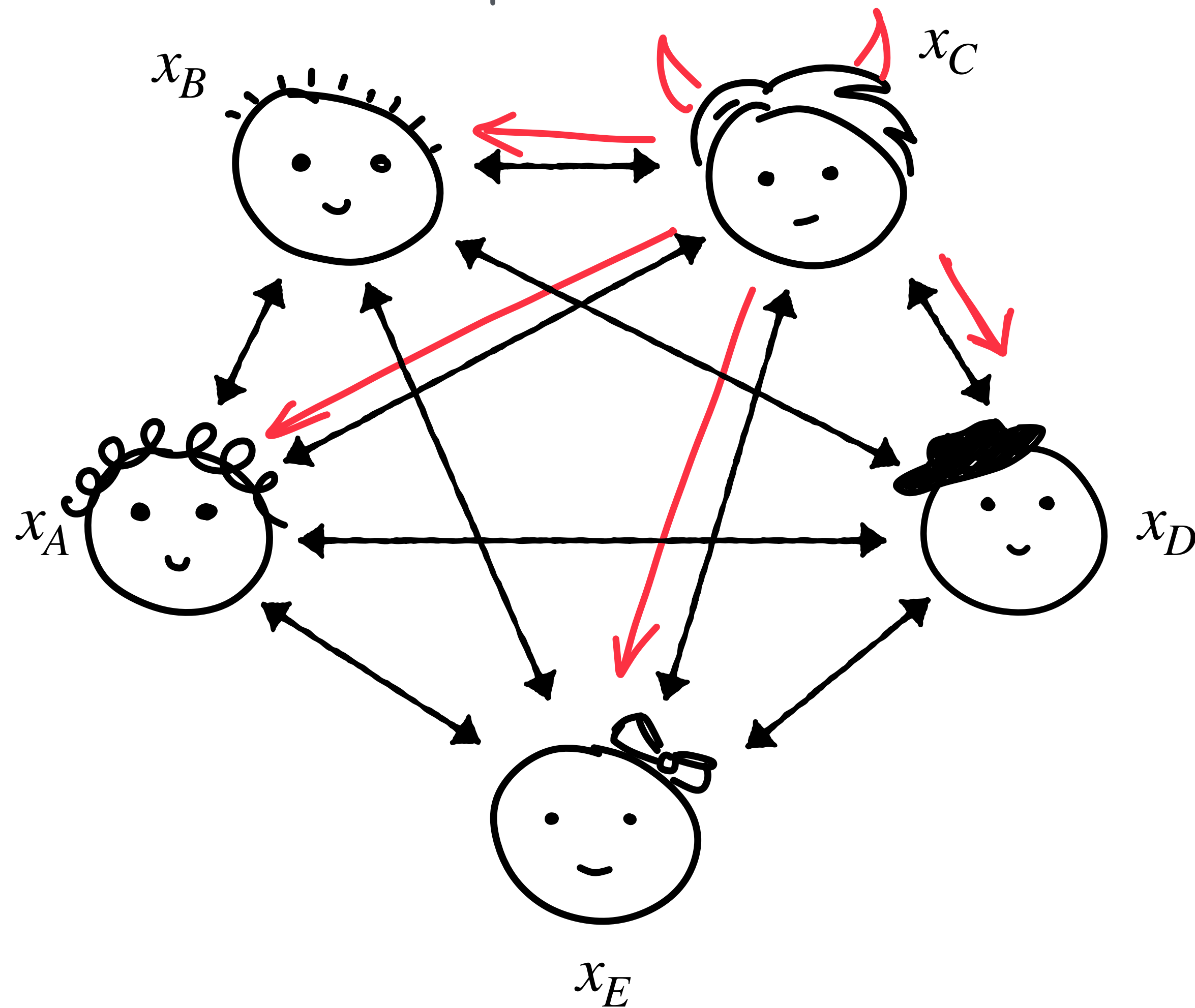
We have:

- correctness
- privacy

as long as everyone follows instructions.

# Back to MPC

What about "active" corruptions?



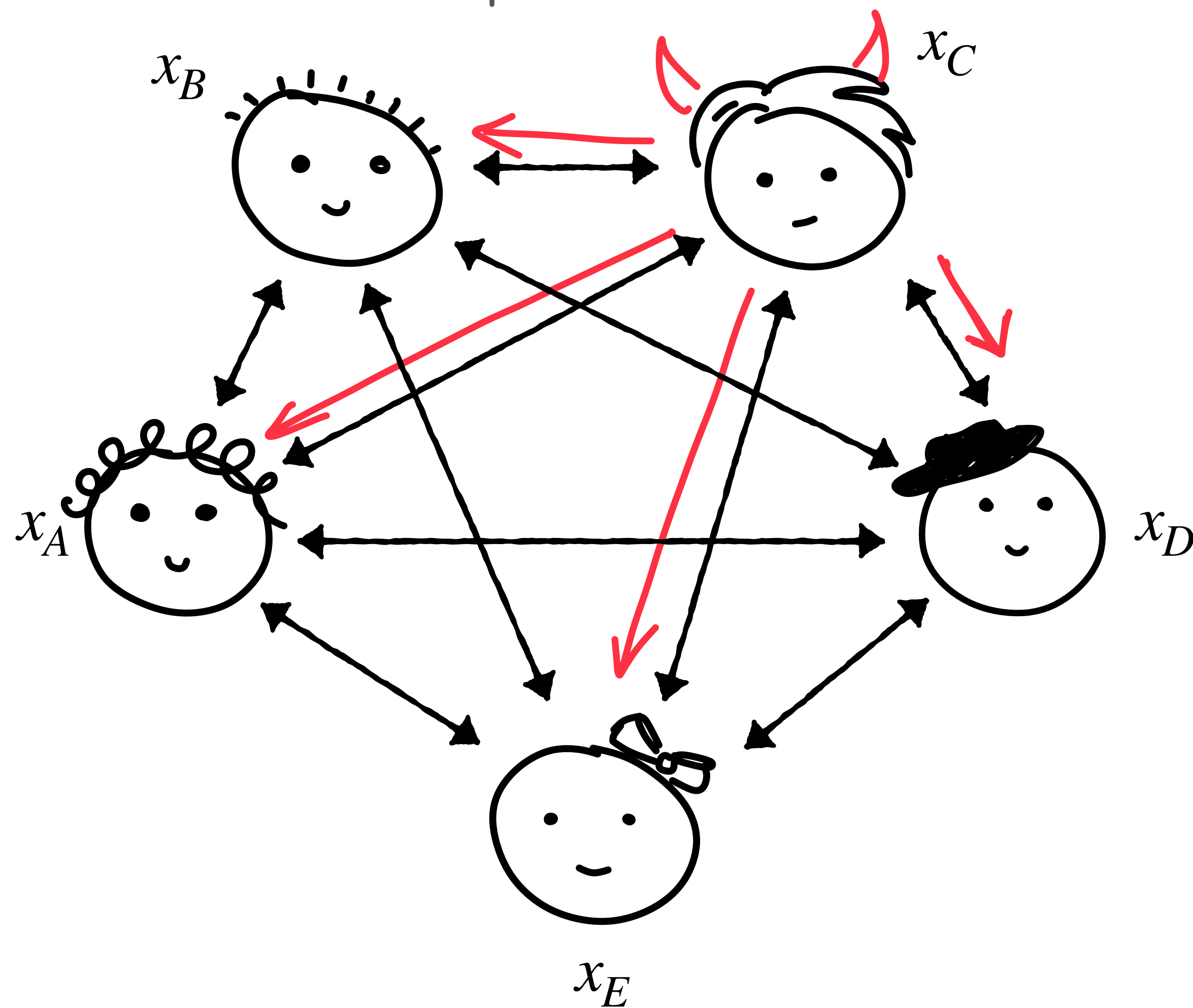
We have:

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# Back to MPC

What about "active" corruptions?



We want:

- correctness
- privacy

even if up to  $t$  participants cheat!

take a protocol secure against "passive" corruptions, and have each participant zero-knowledge-prove their correct behavior!

# Done!

